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Role of intercalated cobalt in the electronic structure of $\text{Co}_{1/3}\text{NbS}_2$

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$\text{Co}_{1/3}\text{NbS}_2$ is the magnetic intercalate of 2H-NbS_2 where electronic itinerant and magnetic properties strongly influence each other throughout the phase diagram. Here we report the angle-resolved photoelectron spectroscopy (ARPES) study in $\text{Co}_{1/3}\text{NbS}_2$. In agreement with previous reports, the observed electronic structure seemingly resembles the one of the parent material 2H-NbS_2 , with the shift in Fermi energy of 0.5 eV accounting for the charge transfer of approximately two electrons from each Co ion into the NbS_2 layers. However, in addition, and in contrast to previous reports, we observe significant departures that cannot be explained by the rigid band shift accompanied by minor deformation of bands: First, entirely unrelated to the 2H-NbS_2 electronic structure, a shallow electronic band is found crossing the Fermi level near the boundary of the first Brillouin zone of $\text{Co}_{1/3}\text{NbS}_2$. The evolution of the experimental spectra upon varying the incident photon energy suggests the Co origin of this band. Second, the Nb bonding band, found deeply submerged below the Fermi level at the Γ point, indicates that the interlayer hybridization is significantly amplified by intercalation, with Co magnetic ions probably acting as strong covalent bridges between NbS_2 layers. The strong hybridization between orbitals that support the itinerant states and the orbitals hosting the local magnetic moments indicates the importance of strong electronic correlations, with the interlayer coupling playing an exquisite role.

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I. INTRODUCTION

For decades, the transition metal dichalcogenides (TMDs) have been fascinating the scientific community by the diversity of electronic phases in their phase diagrams. The phases that have been discussed include various charge-density-wave states, superconducting phases, Mott, band Jahn-Teller, excitonic, and magnetic insulator states [1–9]. More recently, this fascination by bulk properties has been accompanied by the surge of interest in atomically thin TMD systems, which offer variety of promising technologies and fundamental particularities [10–13].

As TMDs consist of layers separated by the van der Waals gaps, they also offer the possibility of being intercalated by various types of atoms and molecules [14], further contributing to the abundance of phases. The intercalation by magnetic ions has proven particularly attractive, as it leads to rather

complex magnetic structures in $M_x\text{TiS}_2$, $M_x\text{TaS}_2$, $M_x\text{NbS}_2$, and $M_x\text{NbSe}_2$ (M : 3d transition-metal ions) [14–21]. An example is found in 2H-NbS_2 , which becomes superconducting below 6 K [22]. If intercalated by Fe, Co, and Ni, the superconductivity is suppressed in favor of the antiferromagnetic ground state. The intercalation with V, Mn, or Cr results in the ferromagnetic order [14,16–18]. Moreover, the chiral magnetic soliton lattice has been proposed in the case of Cr and Mn intercalation [23,24], as well as some other exotic behaviors [25,26]. A topological semimetal phase has been suggested for certain compounds recently [27].

The simplest view of the electronic and magnetic properties of TMDs intercalated by 3d transition-metal ions comes in the form of the rigid-band model [16,17]. According to this model, a portion of the 3d electrons from the intercalated ions are transferred to transition metal 4d bands, which remain unaffected in shape. The remaining 3d electrons form the magnetic moments at the intercalated ions. They are considered as interacting only weakly with the conduction band and with each other through the Ruderman-Kittel-Kasuya-Yosida (RKKY), and superexchange mechanisms [14,16,17,28].

The rigid-band-model view was questioned already early on when the optical conductivity data suggested conducting

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band broadening as a result of increased interlayer bonding caused by intercalation [29]. More recently, the deformation of conduction bands and *nonuniform doping* across the Fermi surface has been reported [30,31]. But indeed, some deformation of the lattice of the host material is inevitably expected upon intercalation. Depending on ionic radii and the ionicity of the intercalated ions, they can act in direction of increasing or decreasing the average distance between layers and deform the host lattice in their close vicinity [15,16]. This static deformation is likely to affect the band structure of the host material, along with the periodic electrostatic potential coming from the extra ions. However, given the robustness of TMD atomic layers [10], the effect is expected to be minor leading to a “*quasi-rigid*” response of the electronic bands [32]. A more profound effect originates from the *overlap* between electronic wave functions of the intercalated ions and those of the host material. These overlaps are expected to further deform the conduction bands of the host material and can change the character of the Fermi surface of TMDs from quasi-2D to 3D. Recently however, the signs of additional states, unrelated to the band structure of the host material, were reported in the vicinity and at the Fermi level in $\text{Cr}_{1/3}\text{NbS}_2$ [31,33]. The evidence also started to show that the usual assumption of the weak coupling between intercalated magnetic moments and itinerant electrons of the host material needs to be abandoned [33]. The appearance of a new type of state at the Fermi level breaks the *quasi-rigid-band* notion in magnetically intercalated TMDs. The strong mixing between spin and charge degrees of freedom also represents an essential paradigm shift in these materials.

Here we delve into this subject through the experimental observation of the electronic structure and the Fermi surface of $\text{Co}_{1/3}\text{NbS}_2$ by angle-resolved photoelectron spectroscopy (ARPES). This compound is unique from several points of view. For one, it has the lowest magnetic ordering temperature, $T_N \sim 26$ K [14] among the materials of the $M_{1/3}\text{NbS}_2$ series. This ordering temperature can be additionally decreased by applying hydrostatic pressure [34], down to complete suppression of the magnetic order above 2 GPa, the first such suppression observed in intercalated TMDs, and possibly leading to the Co quantum spin liquid embedded between metallic layers [35]. For two, the large anomalous Hall effect was recently reported in a magnetically ordered state and suggested originating from complex noncollinear magnetic textures. The finding sparked a renewed interest in this particular compound [26,36]. Presumably connected to the anomalous Hall effect, a small ferromagnetic (FM) canting of Co magnetic moments along the c axis was suggested to occur on the top of the antiferromagnetic ordering below T_N [26,35]. Furthermore, the electrical resistivity along the c axis is significantly lower in $\text{Co}_{1/3}\text{NbS}_2$ than in the parent 2H-NbS_2 , suggesting that Co atoms act as the conduction links between layers [35]. Thus, the compound seems like an excellent candidate for testing for the radical departures from the quasi-rigid-band picture. Here we compare the measured spectra with what is known about the electronic structure of 2H-NbS_2 and $\text{Co}_{1/3}\text{NbS}_2$. The qualitative differences are explored through theoretical modeling.

Our central claim is that magnetically intercalated TMDs should be regarded as a particular class of strongly correlated

electron systems, with strong hybridization between magnetic and metallic layers being mainly responsible for many material properties.

II. MATERIAL AND METHODS

Single crystals of $\text{Co}_{1/3}\text{NbS}_2$ were grown by chemical vapor transport method using iodine as a transport agent [14]. The Co atoms occupy the octahedral voids between NbS_2 layers [see Fig. 1(a)]. When compared to the 1×1 unit cell of 2H-NbS_2 , the intercalated compound $\text{Co}_{1/3}\text{NbS}_2$ (space group $P6_322$) forms the $\sqrt{3} \times \sqrt{3}$ supercell rotated by 30° [15,18]. The corresponding first Brillouin zones, the bigger one for 2H-NbS_2 and the threefold smaller for $\text{Co}_{1/3}\text{NbS}_2$, are shown in Fig. 3(a). For brevity, these will be also referred to as *large* and *small* Brillouin zones. The grown crystals were characterized by magnetic susceptibility and electrical resistivity measurements. Using the Laue diffraction, the crystals were oriented along the high symmetry ΓM_0 and ΓK_0 directions [see Fig. 3(a) for notation] and glued to Ti flat plates. The clean surfaces of the samples were obtained by cleaving *in situ* within the preparation chamber under ultrahigh vacuum (better than 3×10^{-10} mbar), then immediately transferred to the measurement chamber with the base pressure of 8×10^{-11} mbar. The cleaved sample surface was checked before and after performing the ARPES measurement by low energy electron diffraction (LEED). The LEED pattern, shown in Fig. 2, obtained by using the electrons at the incident energy of 250 eV, clearly shows the periodicity of $(\sqrt{3} \times \sqrt{3})R30^\circ$ reciprocal lattice. As usual, the *super-spots* in Fig. 2, appearing in white, are considerably weaker in intensity than the red spots corresponding to the parent 2H-NbS_2 structure. Along with the smaller concentration of Co atoms than Nb atoms in the material, this is also the consequence of somewhat lower cross section for elastic electron scattering for Co than for Nb (2:3 at 250 eV) [37]. The experiment was performed at the UARPES beamline of the SOLARIS synchrotron, using the photons in the 34–79 eV energy range. The photoionization cross section of niobium $4d$ orbitals within this energy range diminishes by two orders of magnitude. In contrast, the photoionization cross sections of sulfur $3p$ and cobalt $3d$ orbitals stay unchanged, as shown in Fig. 1(c). This property was used to partially separate the features originating from S and Co orbitals from those arising from Nb orbitals. The photoelectrons were collected using a hemispherical analyzer DA30 (VG Scienta) and a multichannel plate coupled to a CCD detector. The overall energy resolution was estimated to be 20 meV from the Au Fermi edge fitting. The linearly polarized light was used in the experiment. The experimental setup and two polarization directions used in our measurements are schematically shown in Fig. 1(b). The polarization is called *horizontal* when the electric field vector of the incident light is parallel to the *incident plane* [the light blue plane in Fig. 1(b)] defined by the light propagation vector and the normal to the sample surface. The polarization is called *vertical* when the electric field vector of the incident light is perpendicular to the incident plane and parallel to the *emission plane* [the light-orange plane in Fig. 1(b)] defined by the direction of the analyzer slit]. The total intensity of each ARPES spectrum, extending over a measured span of electronic momenta and the binding energy

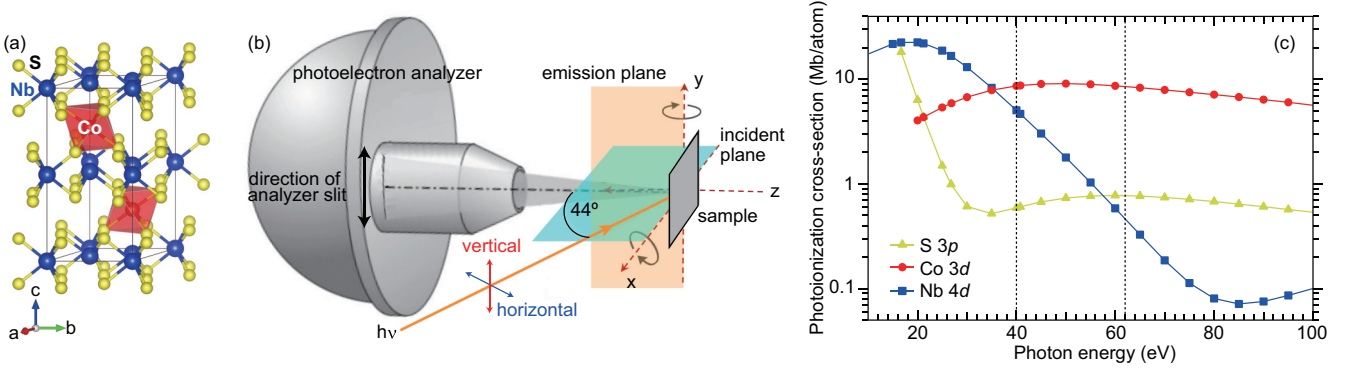


FIG. 1. (a) Crystal structure of $\text{Co}_{1/3}\text{NbS}_2$, with two NbS_2 layers and two Co-layers entering the crystal unit cell, drawn by VESTA [38]. (b) The orientations of the photoelectron analyzer and the incident photon beam at the UARPES beamline of the SOLARIS synchrotron. The *horizontal* and *vertical* light polarizations are defined by blue and red arrows. The horizontal and vertical polarizations correspond to the *p* and *s* polarizations of Ref. [39], respectively. (c) Atomic subshell photoionization cross sections for S 3*p*, Co 3*d*, and Nb 4*d*, from Ref. [40].

between 0 and 4 eV, is subsequently normalized to the same value.

The band structure for 2H-NbS₂ was calculated using the Quantum ESPRESSO Density Functional Theory (DFT) package [41,42]. We use the kinetic energy cutoff of 70–80 Ry for wave functions and 500–600 Ry for charge density and potentials. The ultrasoft pseudopotentials are from pslibrary [43] based on Perdew-Burke-Ernzerhof exchange-correlation functional [44]. The Fermi-energy discontinuity is smeared as proposed by Marzari-Vanderbilt [45] with broadening of 0.005–0.01 Ry. We made several different DFT calculations, where the DFT-optimized crystal structure was used, unless otherwise stated. For the pristine NbS₂, the DFT optimized crystal lattice parameters are found to be $a_0 = 3.349 \text{ \AA}$ and $c_0 = 13.495 \text{ \AA}$, with the vertical position of sulfur atom at $z = 0.133$. The DFT optimized crystal lattice param-

eters for antiferromagnetically ordered $\text{Co}_{1/3}\text{NbS}_2$, with orthorhombic unit cell, are $a_1 = 5.792 \text{ \AA}$, $b_1 = 10.032 \text{ \AA} (= a_1\sqrt{3})$, and $c_1 = 12.199 \text{ \AA}$ [35]. The crystal parameters for calculation of NbS₂ with 2/3 extra electrons per Nb atom are inferred from DFT optimized antiferromagnetically ordered $\text{Co}_{1/3}\text{NbS}_2$ crystal parameters, $a_2 = 3.344 \text{ \AA} (= a_1/\sqrt{3})$ and $c_2 = 12.199 \text{ \AA} (= c_1)$ with vertical sulfur position $z = 0.123$ [35]. We use the *k*-point mesh of $20 \times 20 \times 8$ for the Brillouin zone, without shift.

III. EXPERIMENTAL RESULTS

A. Scans along cuts in *k*-space

Figure 3 shows the ARPES spectra of $\text{Co}_{1/3}\text{NbS}_2$ collected along ΓM_0 and ΓK_0 directions of the Brillouin zone of 2H-NbS₂. They were measured using photons with energy $h\nu = 40 \text{ eV}$ and horizontal photon polarization. Energy distribution curves (EDCs) along these directions are also shown. The zero in binding energy (E_B) corresponds to the Fermi level (E_F). The blue dashed lines in panels (c) and (d), and full blue lines in panels (b) and (c) correspond to the Brillouin zone boundaries of $\text{Co}_{1/3}\text{NbS}_2$. The spectra on panels (a) and (c) (along ΓK_0 direction) are recorded at 78 K, while the spectra at panels (b) and (d) along ΓM_0 direction are measured at 10 K. We point out that *k* axes essentially specify the (k_x, k_y) coordinates of the initial electron state, and we use the surface Brillouin zone labels to emphasize that. The corresponding labels without bars designate the points at $k_z = 0$ in the bulk Brillouin zone, whereas spectra represent contribution coming from electronic states at finite k_z . We also compared the ARPES spectra measured below and above the magnetic ordering temperature T_N , taken along the same direction. We have not observed any significant differences between these spectra within the experimental resolution, except for the thermal broadening around the Fermi level. The details are provided in the Supplemental Material [46]. Some difference in data quality along ΓM_0 and ΓK_0 directions in Fig. 3 should be attributed primarily to a difference in crystal quality of samples used for respective scans [46].

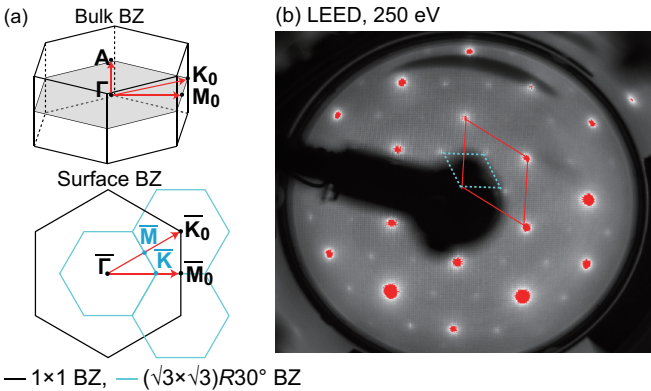


FIG. 2. (a) Bulk Brillouin zone of 2H-NbS₂ (top) and the surface Brillouin zones of 2H-NbS₂ and $\text{Co}_{1/3}\text{NbS}_2$ (bottom), shown in black and blue lines, respectively. (b) The low-energy electron diffraction (LEED) pattern of $\text{Co}_{1/3}\text{NbS}_2$ obtained by using the 250 eV electrons. The stronger red dots correspond to the crystal structure of 2H-NbS₂. The weaker intensity white peaks correspond to the $(\sqrt{3} \times \sqrt{3})R30^\circ$ superlattice of 2H-NbS₂. The unit cells in the reciprocal space for two lattices are shown as blue and red rhombuses, respectively.

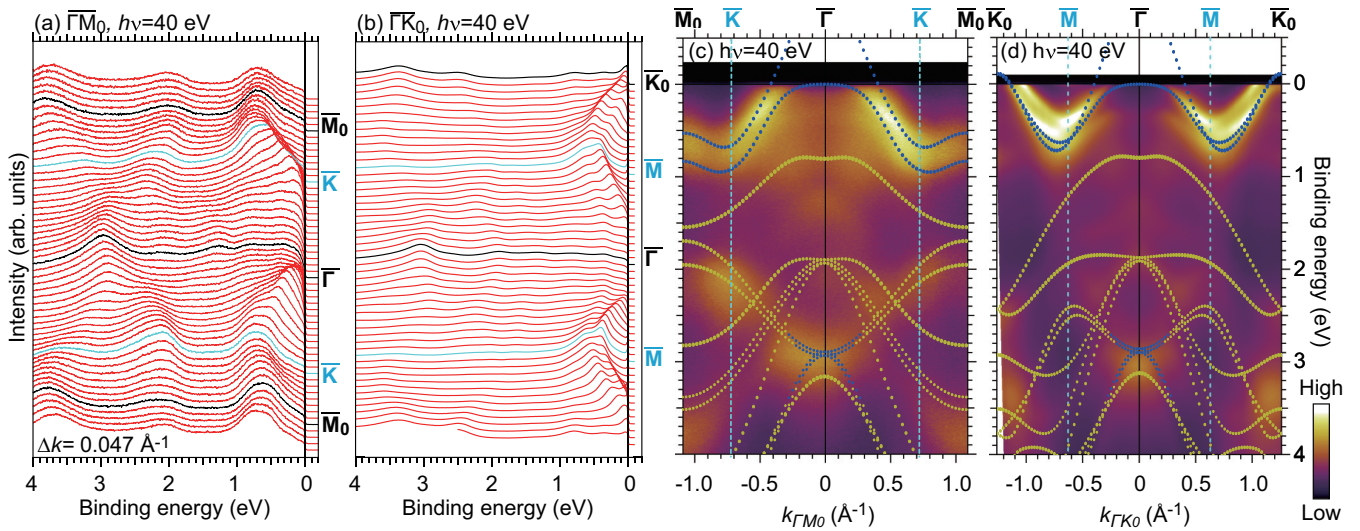


FIG. 3. Energy distribution curves (EDCs) along (a) $\Gamma\bar{M}_0$ and (b) $\Gamma\bar{K}_0$ lines in the k space. The ARPES intensity plots for $\text{Co}_{1/3}\text{NbS}_2$ measured along (c) $\Gamma\bar{M}_0$ and (d) $\Gamma\bar{K}_0$ directions using the $h\nu = 40$ eV photons, and horizontal polarization. In order to cover an extended momentum range, three ARPES images collected $\pm 15^\circ$ frame are stitched. The vertical-dashed lines represent the boundaries of the $\text{Co}_{1/3}\text{NbS}_2$ first Brillouin zone. The lines composed of blue and yellow dots represent the energy bands calculated for 2H-NbS_2 , with the unit cell adjusted to $\text{Co}_{1/3}\text{NbS}_2$, and additional $4/3$ electrons per unit cell (see text). The blue and yellow dots stand for states predominantly composed of Nb- and S-derived orbitals, respectively. The calculated bands are subsequently shifted by 0.1 eV with respect to the Fermi level in the direction that increases the conduction band filling.

The dotted curves in Figs. 3(c) and 3(d) represent the electronic band dispersions obtained from the DFT calculation for the parent compound, as detailed in Appendix B. The EDCs show pronounced dispersive features in the energy windows of $E_B = 0 - 1$ eV and $2 - 4$ eV. Two bands can be discerned from the spectra in the energy range between zero and 1 eV binding energy (more so along the $\Gamma\bar{K}_0$ direction where the data quality is somewhat higher). In the region between 2 and 4 eV binding energy, the signal is more smeared, and individual bands are hard to discern without using the calculated band structure as a reference.

In Fig. 4 we show the ARPES intensity plots of spectra measured at ten photon energies, ranging from $h\nu = 40$ to 76 eV. These spectra were normalized separately for each panel and plotted using the same intensity scale. The most notable feature of Fig. 4 is the shift in intensity from lower to higher binding energy upon rising the photon energy. The exception may be spotted with the signal at 3 eV binding energy at Γ point, which loses the intensity upon increasing the photon energy. The nonmonotonous intensity dependence on photon energy is also seen for the signal around 1.5 eV binding energy. These features will be discussed in more detail in the next section.

Figure 5 shows the ARPES intensity plots measured at $h\nu = 62$ eV, using horizontal and vertical polarization setups. The intensity distribution of S $3p$ -derived bands in the $E_B = 2 - 4$ eV region is more homogenous for the horizontal than vertical polarization. A similar difference regarding polarizations can be seen in spectra taken using 40 eV photons [46]. The comparison between Figs. 5(a) and 3(d), as well as the comparison between panels in Fig. 4, shows that more bands can be observed at higher photon energy, especially in the $E_B = 2 - 4$ eV region.

B. The constant energy scans

Figure 6 shows the signal at the Fermi level of $\text{Co}_{1/3}\text{NbS}_2$ measured at photon energies of 40 and 62 eV using horizontal and vertical polarizations. Two concentric Fermi surface sections are observed around \bar{K}_0 point, while only one circular Fermi surface section is present around the Γ point. The additional set of signals is found around the boundary of first Brillouin zone of $\text{Co}_{1/3}\text{NbS}_2$, or the $(\sqrt{3} \times \sqrt{3})R30^\circ$ superlattice of 2H-NbS_2 . The signals are visible in both photon polarizations and best seen in scans made using 62 eV energy photons. We call this set of signals the β band, following Ref. [31] where a somewhat similar feature was first observed in the sister compound $\text{Cr}_{1/3}\text{NbS}_2$ and termed the β feature. Contrary to $\text{Cr}_{1/3}\text{NbS}_2$, in the constant-energy scans in $\text{Co}_{1/3}\text{NbS}_2$ we find the β band spreading throughout the boundary of the Brillouin zone. In the 40 eV photon energy scans [Figs. 6(a) and 6(b)], the β band can probably be described as another large ring around Γ point, concentric to the antibonding Nb d band Fermi surface. This is how the β feature was primarily described in $\text{Cr}_{1/3}\text{NbS}_2$ [33]. The scans at 62 eV photon energy [Figs. 6(c) and 6(d)] provide better images of the β band, as a necklace composed of six triangularly shaped or dewdrop-like pockets surrounding the \bar{K} points at the boundary of the small Brillouin zone. One can follow these pockets to energies below the Fermi level, assisted by the constant energy scans shown in Fig. 7. The panels (a), (c), and (d) in Fig. 7 indicate the collapse of the pockets towards the \bar{K} points at higher binding energies, identifying the β band as a collection of shallow electron pockets, of few tens of meV in depth. On a more quantitative side, the size of each pocket can be estimated to be around 2% of the large Brillouin zone, and their depth to (40 ± 10) meV. Within simple parabolic approximation $\mathcal{E}_\beta = \hbar^2(k_\beta)^2/2m_\beta^*$,

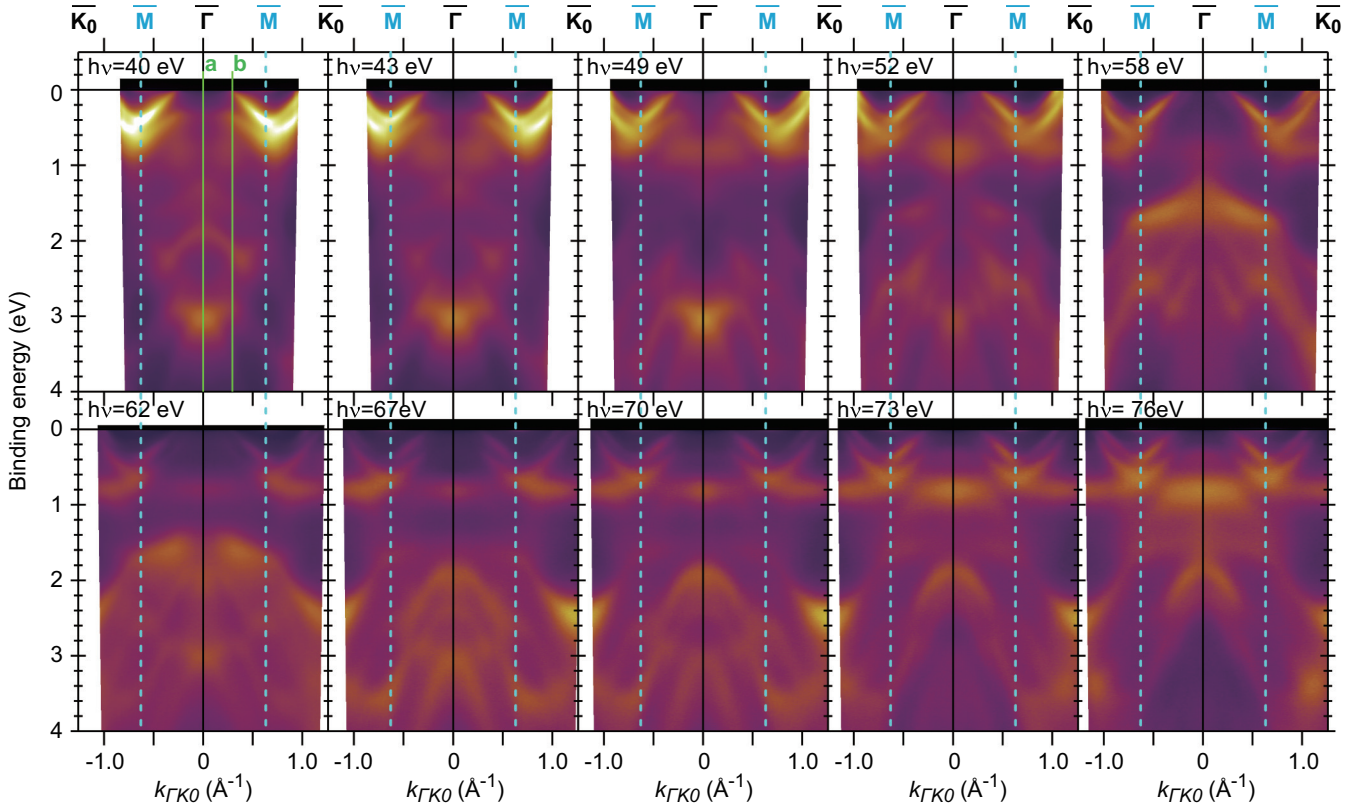


FIG. 4. The ARPES intensity plots along ΓK_0 direction measured with different energy of the photons used in the experiment. All ARPES images are measured using horizontal polarization and plotted in the same intensity scale. Blue-dashed lines correspond to the boundaries of the first Brillouin zone of $\text{Co}_{1/3}\text{NbS}_2$. The green-vertical lines in the first panel denote the cuts taken in the spectrum leading to 40 eV curves in Figs. 8(a) and 8(b).

with \mathcal{E}_β and k_β denoting the depth and the radius of one pocket, this yields the effective mass $m_\beta^* = (2.4 \pm 0.6)m_e$ for these pockets, roughly two times bigger than the effective mass m_0^* appropriate to conducting bands of 2H-NbS₂.

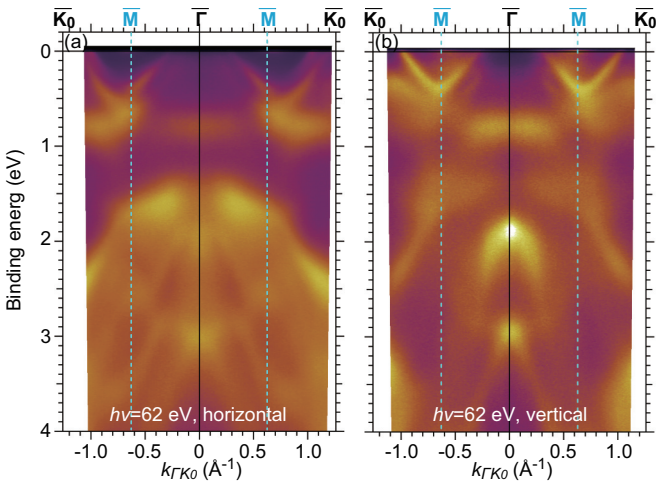


FIG. 5. ARPES intensity plots of $\text{Co}_{1/3}\text{NbS}_2$ along the ΓK_0 direction for (a) horizontal and (b) vertical photon polarization. The spectra are measured with $h\nu = 62$ eV at 10 K. The dashed lines in light-blue mark the M high-symmetry point at the boundary of the first Brillouin zone of $\text{Co}_{1/3}\text{NbS}_2$.

The latter is determined from the Fermi velocity in 2H-NbS₂ in the usual way, $m_0^* = \hbar k_F / v_F$, with v_F being read from the slope of the calculated electronic dispersion, and k_F being the characteristic wave vector (radius) of the Fermi surface section. While two approaches to read the effective mass from the electronic dispersion are equivalent for quadratic band dispersions, the former is easier to apply for shallow pockets.

It should be mentioned that in the sister compound $\text{Cr}_{1/3}\text{NbS}_2$ the β feature undergoes splitting into two signals below the helical/ferromagnetic ordering temperature. This was argued in favor of the Cr nature of the β feature. The resonant photoemission (ResPES) study suggests the same [31,33]. In $\text{Co}_{1/3}\text{NbS}_2$, we do not observe any significant temperature dependence of the β band [46].

IV. DISCUSSION OF EXPERIMENTAL RESULTS

A. Scans along cuts in k-space

The surface sensitivity of ARPES often casts doubt on the relevance of the observed band structure to the bulk electronic structure. For intercalated quasi-two-dimensional TMDs, a cleavage is expected to happen at a layer defined by the intercalated ions, where the binding is weaker than within host material TMD layers. By symmetry, an ideal cleavage is expected to divide the intercalate (Co) atoms equally between the two cleaved surfaces, thus leaving disordered Co at the very surface. The relevance of this surface effect to the

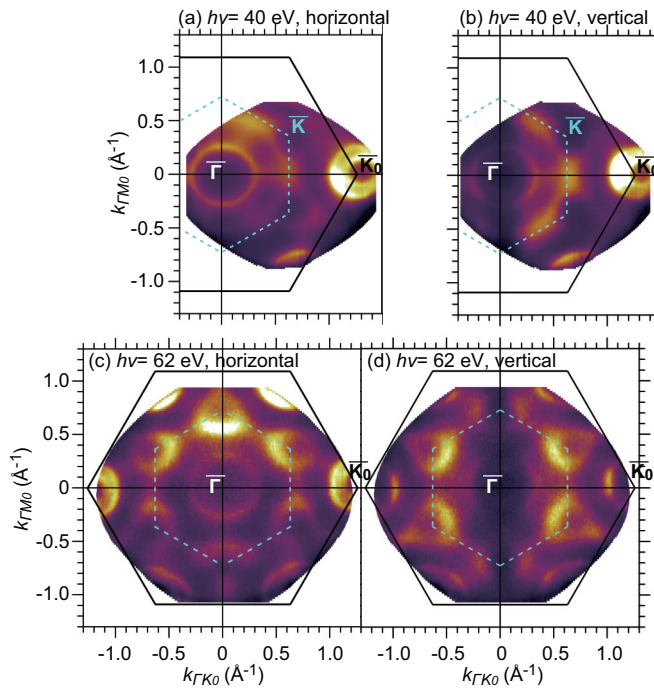


FIG. 6. Constant energy cuts at the Fermi level, measured at 10 K using $h\nu = 40$ eV photons, in (a) horizontal and (b) vertical polarization setups. The panels (c) and (d) show the data obtained using $h\nu = 62$ eV photons, respectively in horizontal and vertical polarization. The large black hexagon and the small hexagons shown in light-blue-dashed line represent the first Brillouin zones of NbS_2 and $\text{Co}_{1/3}\text{NbS}_2$, respectively.

interpretation of the measured spectra as the genuine electronic structure of bulk material was assessed for the sister compound $\text{Cr}_{1/3}\text{NbS}_2$ [31]. Two types of termination layers were observed by scanning tunneling microscopy on *in situ* cleaved $\text{Cr}_{1/3}\text{NbS}_2$. The first was the termination layer with disordered Cr atoms, which was connected to the observation of the nondispersive bands in ARPES spectra [31]. The second was the termination layer with ordered S atoms. In that case, the band dispersions and Fermi momentum obtained by low energy ARPES were not significantly modified with respect to those of bulk $\text{Cr}_{1/3}\text{NbS}_2$ [31]. In $\text{Co}_{1/3}\text{NbS}_2$, we do not observe nondispersive bands in the measured energy range, suggesting no significant influence of Co disorder on our spectra.

In spectra presented in Figs. 3–5 no clear evidence of the band folding or discontinuities (gaps) are observed at the boundary of the small Brillouin zone. This is in accordance with previous reports in $(\text{Mn}, \text{Cr})_{1/3}\text{NbS}_2$ [30,31].

The Co-induced superstructure showing clearly in LEED and being missing in ARPES seems contradictory. It should be kept in mind, however, that Co-induced superstructure does show in ARPES through the β band, which is particular to the intercalated compound and appears long the border of its first Brillouin zone. It should be also remarked that the DFT calculation for $\text{Co}_{1/3}\text{NbS}_2$ puts the electronic bands dominated by Co orbitals, well outside the binding energy window that we address in ARPES. These results are graphically summarized in Fig. 12 in the Appendix. In contrast,

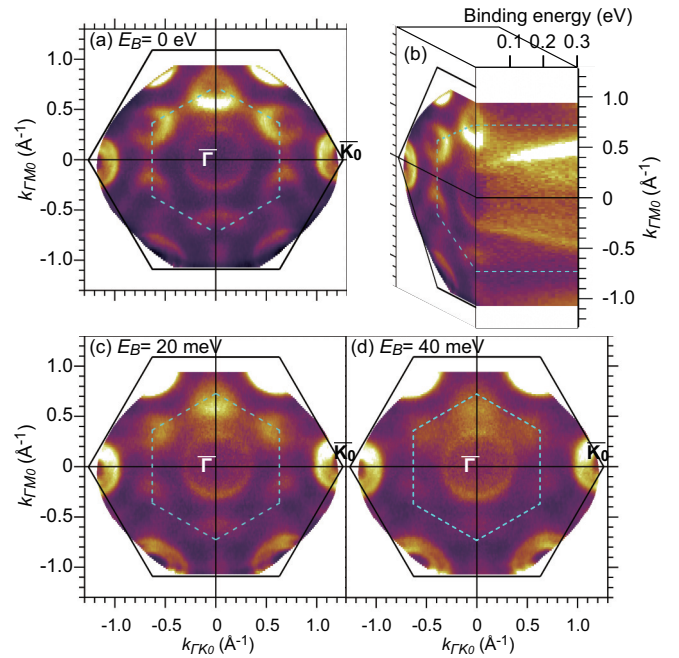


FIG. 7. Constant energy cuts at (a) E_F , (b) 20 meV below E_F , and (c) 40 meV below the Fermi energy of $\text{Co}_{1/3}\text{NbS}_2$, measured at 10 K with $h\nu = 62$ eV in horizontal polarization. The large black hexagons and the small hexagon shown in light-blue-dashed line represent the first Brillouin zones of NbS_2 and $\text{Co}_{1/3}\text{NbS}_2$, respectively. (d) Left half of the Fermi surface shown on panel (a) is presented with ARPES spectra along $\Gamma - M_0$ direction down to 0.3 eV binding energy.

all electronic bands contribute to LEED irrespective of their binding energy, as much as they add to the charge density variation in space. Finally, how the electrons perceive the Co-induced charge superstructure depends very much on (Co vs Nb) elastic-scattering cross-section dependence on the electron energy. The additional LEED images that illustrate this point are provided in the Supplemental Material [46]. It is also worth mentioning that the band folding/gapping is not always observed by ARPES even when the superstructure spots are well detected by LEED. An example may be found in the Cs ions intercalated between graphene and Ir(111) substrate and forming the $(\sqrt{3} \times \sqrt{3})R30^\circ$ superstructure. Although the formation of the superlattice was clearly observed by LEED, the ARPES results did not show any band folding to reflect the corresponding periodicity of Brillouin zone [47]. On the other hand, the both superlattice LEED spots and the band folding effects in ARPES were observed in the Cs intercalated graphene with (2×2) superstructure [47,48].

The lack of significant marks of the periodicity of $\text{Co}_{1/3}\text{NbS}_2$ in observed spectra motivates us to start our discussion by comparing measured ARPES spectra to the electronic bands calculated for the parent material 2H- NbS_2 .

The Nb 4d states play a major role in the metallic properties of 2H- NbS_2 . In 2H- NbS_2 the Nb atom is surrounded by six S atoms, forming the NbS_6 prism with the D_{3h} symmetry [see the Fig. 1(a)], and leading to Nb 4d orbitals split into a'_1 (d_{z^2}), e' ($d_{xz, yz}$), and e'' ($d_{x^2-y^2, xy}$) states. According to electronic structure calculation, the bands crossing the Fermi

level are primarily composed of Nb $4d_{z^2}$ orbitals, and, to a smaller extent, of S p_z orbitals [35]. With two Nb atoms per 2H-NbS₂ unit cell, two conduction bands emerge from the calculation, as shown in Fig. 3(d) and in more details in Fig. 12 in Appendix. These two bands can also be discerned from the experimental spectra in Fig. 3(d), covering most of the energy range between zero and 1 eV binding energy. The lower band corresponds to the bonding, whereas the upper band corresponds to the antibonding combination of Nb $4d_{z^2}$ orbitals of the two Nb layers in the unit cell. One noticeable difference between the experiment and the calculated bands shows in the central part of the figure. As the Γ point is being approached, the observed splitting between bonding and antibonding bands is much bigger than for calculated bands. For example, the bonding band in ARPES spectra reaches the Γ point around 0.3 eV below the Fermi level. On the other hand, the calculations foresee the bonding band approaching and crossing the Fermi level before reaching the Γ point. Further on, the dispersions of the bands measured in the energy window of $E_B = 2 - 4$ eV, presented in Figs. 3(c) and 3(d), agree rather well with the bands calculated for 2H-NbS₂. According to our calculations, these bands are mostly built from sulfur orbitals, with a small contribution from Nb orbitals appearing around Γ point at $E_B \sim 3$ eV, as indicated in the Figs. 3(c) and 3(d).

B. Effect of photon polarization and photon energy on ARPES spectra

Several factors influence the intensity variation within a single ARPES spectrum and the differences between spectra taken at different setups. Those differences are usually attributed to the dependence of the transition matrix element on photon energy, light polarization, symmetry of the underlying electronic wave functions, as well as the geometry of the experimental setup [49]. The influence of light polarization on ARPES intensity in Co_{1/3}NbS₂ is exemplified in Fig. 5. The spectra recorded using different photon polarizations are usually used to analyze the orbital content of the electronic bands [31,50]. For this, it is advantageous to align the emission plane of the experimental setup to the mirror plane of the crystal. Unfortunately, this condition cannot be fulfilled in our case, as the intercalated compound has no mirror symmetry planes.

The influence of variation in photon energy on ARPES spectra is shown in Fig. 4. It primarily manifests through the shift in intensity from lower to higher binding energy upon rising the photon energy. This shift is in accordance with Fig. 1(c) and our expectations regarding the atomic character of different bands, encoded through colors of the calculated curves in Figs. 3(c) and 3(d), with Nb orbitals contributing mainly to bands up to 1 eV binding energy, and sulfur orbitals dominating the bands in the remaining part of the spectrum.

In principle, the variation of photon energy can also offer information on band dispersion in the direction perpendicular to the surface, i.e., the k_z dispersion [51]. In TMDs, which are usually described as quasi-two-dimensional systems, this dispersion is expected to be weak compared to band dispersion in the direction parallel to layers. On the other hand, the k_z dispersion may be particularly interesting upon changing the

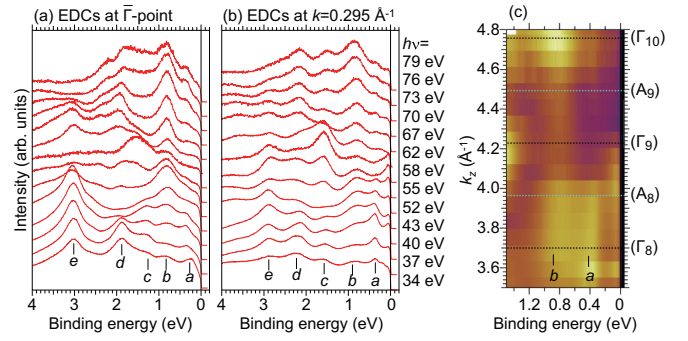


FIG. 8. The energy distribution curves (EDCs) at two position in k space are shown in panels (a) and (b). These positions are marked by green full vertical lines in the first panel of Fig. 4, labeled by a and b , respectively. (a) The EDCs at $(k_x, k_y) = (0, 0) \equiv \bar{\Gamma}$ point, measured for various energies of incident photons. The main spectral features are indicated by vertical bars and labels below the bottommost curve. (b) The EDCs at $k = 0.295 \text{ \AA}^{-1}$ along the ΓK_0 direction. (c) The data at the same k point, $k_{\Gamma K_0} = 0.295 \text{ \AA}^{-1}$ shown as the function the binding energy and k_z , given by Eq. (1). The labels (Γ_n) next to black dashed lines mark the k_z levels of $\Gamma_n \equiv (0, 0, 2\pi/c \times n)$, whereas the label A_n stands for the midpoint between Γ_n and Γ_{n+1} , as usual.

coupling between layers through intercalation. Indeed, the Co intercalation in 2H-NbS₂ was found to reduce the electrical resistivity in the direction perpendicular to layers by approximately two times, as well as to reduce the anisotropy of the electrical resistivity [35]. The DFT calculations in Co_{1/3}NbS₂ predict the bonding Nb d_{z^2} band crossing the Fermi level along the $k_x = 0, k_y = 0$ axis with considerable k_z dispersion [35]. The same calculations also predict the k_z dispersion for the topmost sulfur band as the most pronounced [35,46]. One can expect these dispersion effects to be observable in Fig. 4, as different photon energies should reflect initial electronic states at different k_z 's, for any fixed values of k_x and k_y . The momentum along the z direction may be calculated using the expression [51]:

$$k_z = \sqrt{\frac{2m}{\hbar^2} (E_k \cos^2 \theta + V_0)}. \quad (1)$$

Here E_k stands for the kinetic energy of photoelectrons at the detector, θ represents the angle between the surface normal and photoelectron wave vector, m denotes the free electron mass, and V_0 is the inner potential. The value of V_0 is generally determined experimentally, $V_0 = 14$ eV being recently proposed for the sister compound Cr_{1/3}NbS₂ [31]. With V_0 of this magnitude, and with the photon energy range from 34 to 79 eV, one expects to scan over the k_z range exceeding the span of $2\pi/c$ of the first Brillouin zone.

Another view at data underlying Fig. 4 can be obtained from Fig. 8. It emphasizes the nonmonotonous variation of the intensity of several bands upon changing the photon energy. An important example of this behavior is the Nb-bonding band, clearly showing at 42 eV in Fig. 4, disappearing in 58 and 62 eV spectra, and reappearing in the 76 eV panel. In Fig. 8(c), we follow the intensity variation of the bonding-band signal at a particular (k_x, k_y) point as the function of k_z , calculated through Eq. (1), extending over the range of several

Brillouin zone replicas. The signal, labeled by a , shows well for k_z around Γ_8 , then almost vanishes around Γ_9 , and reappears around Γ_{10} . The same signal can also be followed in energy distribution curves (EDC) in Fig. 8(b). The observed sequence reflects the internal structure of the bonding band wave function in the unit cell [49,52]. The internal structure of the wave function enters into photoemission through the photon-absorption matrix element. It is approximately given by the projection of the initial electronic state wave function, at the crystal wave vector k , onto the plane wave at the wave vector $k' \approx k + G$ [49], with G denoting the wave vector of the reciprocal lattice. This projection depends on the variation of the electronic wave function within the unit cell. It reflects the positions of the atoms involved, as well as on the variation of the electronic wave function within particular orbitals, duly taken into account through the orbital photoemission cross section shown in Fig. 1. Without going into formulas, it can be understood that in TMDs this internal structure of the wave function comes primarily from the layers participating in the crystal structure unit cell. In particular, for $\text{Co}_{1/3}\text{NbS}_2$ and Nb-dominated bands, it comes from the Nb layers, uniformly separated by $d = c/2$ in the crystal, with two (2) of them participating in the unit cell (see Fig. 1). In the absence of the Nb-interlayer hybridization, the (k_x, k_y) dispersions are expected to be the same for all separate Nb layers. A hybridization between neighboring layers leads to $-\cos(k_z d) = -\cos(2k_z c)$ dispersion in the k_z direction, with the band bottom, for a fixed (k_x, k_y) showing at $k_z = n \times 2\pi/d = 2n \times 2\pi/c$ in the extended zone scheme, and the band tops appearing at $k_z = (2n + 1) \times 2\pi/c$, with n standing for any whole number. The particularity of having two Nb-layers per unit cell in 2H-NbS₂ and similar TMDs leads to folding the conduction band spectra into the $(-\pi/c, \pi/c)$ k_z range of the first Brillouin zone. The folding produces the bonding and the antibonding bands, initially corresponding to the lower and the upper parts of the single extended Nb-band. Still, taking the photoemission matrix elements into account that is expected to *rediscover* the Nb bonding band minima and the Nb antibonding band maxima around Γ_{2n} and Γ_{2n+1} points, respectively. The simple argument given above is not a real substitute for explicate calculations of matrix elements, which also take into account the inequivalence of two Nb-layers in the unit cell produced by their surrounding atoms. Upon looking at Figs. 8(a) and 8(b), one may keep in mind that a similar qualitative argument applies for sulfur-dominated bands, where two S-double layers appear stacked in the unit cell. The range and the density of the photon energy points used in the current experiment limit our exploration in that direction. However, the effect was observed for the Se signal in 2H-NbSe₂ [53].

At this point, we may conclude that change in ARPES spectra upon varying the photon energy originates at least from two contributions. The change in the photoionization intensity of involved elements produces the slowly varying background. On a more detailed scale, the variation comes from the internal structure of wave functions within the unit cell.

The third contribution is generally expected to produce the changes at a still finer scale. It is expected to come from k_z varying within the single $2\pi/c$ period as the photon

energy changes, with gradual repositioning of signals reflecting the k_z dispersion of particular bands. These changes in band position, foreseen by bands structure calculation, cannot be discerned in Fig. 4. There are several possible explanations. On the side of the physics of the material, it is possible that the DFT calculation very much overestimates the interlayer coherence upon assuming the frozen magnetic order in the material where the interlayer coupling is primarily coming from magnetic ions. The Co spin fluctuations, presumably present down to very low temperatures in $\text{Co}_{1/3}\text{NbS}_2$, provide an excellent mechanism for destroying the interlayer coherence. However, the temperature dependence of resistivity in the direction perpendicular to layers does not point in the same direction [35]. Instead, the drop in resistivity upon Co intercalation speaks in favor of the Co intercalation increasing the interlayer coupling coherently, enough to enhance the interlayer charge transport. More probably, the explanation is to be sought on the side of the experimental technique. The low k_z sensitivity in ARPES performed at photon energies below one hundred eVs [49,54] has been argued to be the consequence to rather short electronic *escape length* λ . This parameter measures the depth from which the electron can be extracted without being scattered. In our case, λ is estimated by using the Tanuma-Powell-Penn (TPP-2M) formula [55] to 4.5 Å, less than half a value of the c -axis lattice constant. According to the scenario, the measured signal essentially averages the contributions of k_z states within the $1/\lambda$ range around the particular k_z value given by Eq. (1). For obvious reasons, the result tends to pin at minima or maxima of a band's k_z dispersion at fixed (k_x, k_y) .

Finally, the sample quality has been seen to influence the quality of the signal [46], where weaker signals originating from more dispersive bands are likely to suffer the most.

C. Rigid-band picture and beyond

The energy distribution curves presented in Fig. 8 provide a real *features inventory*, which we are going to inspect now to determine to which degree the ARPES spectra conform the picture of rigidly shifted NbS₂ bands. In panel Fig. 8(a), we focus on signals at the Γ point, whereas panel (b) helps to follow these features away from the Γ point. Both figures include 14 spectra taken at different photon energies.

Five features can be discerned in most curves in Fig. 8(a), labeled by a through e , starting from the one closest to the Fermi energy.

We start our inspection from feature e , found at $E_B = 3$ eV in panel (a). The intensity of this signal has a general trend decreasing upon increasing the photon energy, although some nonmonotonous behavior can be observed as well. The general behavior follows the niobium character of the signal, foreseen by the DFT calculation shown in Fig. 3. The DFT calculations predict several bands crossing the Γ point at this energy, with one group of bands curved upward and another group of bands curved downward around the Γ point. In Fig. 8(b), we can identify the upward curved bands while downward curved ones are not readily observable further away from the Γ point.

The feature d , located at $E_B = 2$ eV, also shows nonmonotonous photon energy dependence of intensity, but no

overall decrease, indicating its dominantly sulfur character. Again, this is consistent with the DFT calculations predicting four dominantly sulfur bands merging at Γ at $E_B = 2$ eV. These bands, all showing maxima at or around Γ in Fig. 3, cannot be individually resolved from measured spectra.

Although the signal is less abundant in the $E_B \approx 1 - 2$ eV energy range, at least two bands can be discerned in this range from most of the panels in Fig. 4. The first one, labeled *c* in Fig. 8, is visible mostly around Γ point at $E_B = 1.3$ eV. The second one, labeled *b* in Fig. 8, can be observed at $E_B = 0.8$ eV. Only one band in this energy range appears in 2H-NbS₂ band structure calculations. This is the highest lying sulfur *p* band, whose position and the energy span appear to be particularly sensitive to changes in the *c*-axis lattice constant, as shown in Appendix B. The calculations also predict the pronounced k_z dispersion for this band, which we cannot confirm from the experiment.

The deviations from simple rigid-band picture become more pronounced upon addressing the feature *a*, appearing at Γ point at 0.3 eV below the Fermi energy, and persisting in most of the EDCs in Fig. 8(a). This feature does not appear in the DFT spectra and, as announced earlier, can be identified as the Nb 4*d* bonding band. We have also announced that the DFT calculated bands for pristine 2H-NbS₂, [Appendix, Fig. 12(a)], rigidity shifted to account for the charge transfer from Co ions, do not predict the bonding Nb 4*d* band getting below Fermi level at Γ point. The same applies for DFT calculations for 2H-NbS₂ with two extra electrons per three NbS₂ units, simulating the charge transfer from Co atoms, added to NbS₂ layers from the outset [see Appendix, Fig. 12(b)]. This indicates that Co intercalation goes well beyond the charge transfer, strongly increasing the interlayer hybridization and the splitting between Nb 4*d* bands around Γ point, to the level of pushing one conduction band maxima much below the Fermi level. The same was observed in ARPES spectra in Cr_{1/3}NbS₂ [31]. This is also the robust feature of DFT results in Co_{1/3}NbS₂ [Appendix, Fig. 12(c)], as discussed in Ref. [35], and expanded upon in the next section. The effects of the extra band splitting diminish upon moving away from the zone center, leading to the *nonuniform doping* across the Fermi surface, as shown in Ref. [30] for Mn_{1/3}NbS₂ and Ni_{1/3}NbS₂. Therefore, the pronounced nonuniform deformation of conduction bands appears to be the general consequence of intercalation of 2H-NbS₂ by transition metal ions.

D. Signal at the Fermi level

For the Fermi level, the rigid-band picture foretells two hole-like pockets appearing around Γ point, as well as around K_0 point. The only expected difference from the Fermi surfaces measured in pristine 2H-NbS₂ [56] would be the reduction in the size of those pockets caused by the electron transfer from Co into NbS₂ layers. In fact, this kind of reduction in size was already reported for sister materials Mn_{1/3}NbS₂ and Ni_{1/3}NbS₂ [30]. Given the submergence of bonding Nb 4*d* band maximum below the Fermi level, one expects to lose one pocket in the central part of the Brillouin zone, leaving only one circular hole pocket around Γ , related to the antibonding band. This circular Fermi surface around

Γ is what we observe for all photon energies, along with the pockets around K_0 , as pictured in Fig. 6.

However, Figs. 6 and 7 also bring in the most significant difference between electronic structures of Co_{1/3}NbS₂ and the parent compound 2H-NbS₂. Entirely unforeseen by the latter, the β band appears in the vicinity of the Fermi level, in the region too narrow in energy to be easily spotted in Fig. 4 and in other spectra that present data in the entire binding energy range covered by the experiment. In the *k* space, the β band shows as the necklace of shallow and wide electron pockets positioned around *K* points of the small Brillouin zone imposed by the intercalation, weakly overlapping. As mentioned in the previous section, a somewhat similar β feature was first observed recently in sister compound Cr_{1/3}NbS₂ [31,33]. Despite this feature receiving much attention in Ref. [33], and being convincingly attributed to Cr orbitals, its origin remains elusive. In Co_{1/3}NbS₂, we observe the feature much more clearly, fully developed. The fact that the β band gets increasingly pronounced at higher photon energies corroborates its cobalt character. This goes well in hand with the Cr origin of the β feature in Cr_{1/3}NbS₂ concluded upon by other means. The possibility of the β band/feature coming from magnetic atoms remaining on the surface upon cleavage seems very unlikely. The structured ARPES signal, well away from the Γ point, would demand the surface reconstruction neatly ordering these atoms, which was not seen in Cr_{1/3}NbS₂.

V. MODELING THE ELECTRONIC STRUCTURE OF Co_{1/3}NbS₂

A. Unfolded DFT spectra

As stated towards the end of the previous section, no explanation of the β band can be found in published *ab initio* electronic structure calculations in Co_{1/3}NbS₂ [27,35,57,58]. Still, these calculations contain several features worth noticing, and the comparison between such a DFT calculation and our ARPES spectra seems worth doing. Subsequently, we discuss the ways to go beyond such DFT calculations in order to account for the observed β band.

To start with, the spin 3/2 magnetic state of Co convincingly shapes several experimental properties of Co_{1/3}NbS₂, and in particular the Curie-Weiss behavior of the magnetic susceptibility that extends over a wide temperature range [15]. The DFT can address this property only by considering the magnetically ordered state. Alternatively, the electronic states emerging from the calculation are characterized by the same density of spin-up and spin-down electrons at all Co sites. The DFT + *U* approach [59–63], which includes the Coulomb Hubbard correction *U* to local interactions, turns helpful in better addressing the electronic correlations on Co atom and in reproducing the magnetic moment measured experimentally. Our self-consistent determination of *U* within the DFT + *U* approach [61,64] yields $U \approx 5$ eV at Co atom. This value of *U* was also used in several previous studies in compounds with Co atoms [65–67], as well in our electronic *ab initio* calculations for Co_{1/3}NbS₂ in Ref. [35]. The results of that calculation, also briefly presented in Fig. 12(c) in the Appendix, will be used in this section for the comparison with our experimental data.

The calculation was performed for the particular antiferromagnetically ordered state termed the *hexagonal order of the first kind* (HOFK), determined for $\text{Co}_{1/3}\text{NbS}_2$ by neutron scattering a long time ago [18]. The first Brillouin zone appropriate for this state is sixfold smaller than the first Brillouin zone of 2H-NbS_2 and significantly smaller than the k -vector range covered by experimental scans. For this reason, as well as to account for the signal variation in k space introduced by *super-structuring* induced by intercalation, the DFT-calculated spectra are *unfolded* into the first Brillouin zone of 2H-NbS_2 before comparing them to our ARPES results. As usual, the unfolding determines the spectral weights in the extended k -space range based on the calculated wave functions.

We confine our comparison to the energy range of approximately 1 eV below and above the Fermi level, dominantly covered by bands originating from Nb orbitals, but also include the lowest Co-dominated bands, starting at approximately 1 eV above the Fermi level (see Appendix, Fig. 12). We choose to make the unfolding starting from the tight-binding (TB) parametrization of the DFT-calculated bands, obtained by using the Wannier90 code [46,68]. The unfolding procedure essentially follows the one outlined in Ref. [69], with the in-house code developed for the occasion. The choice of using the effective tight-binding approach has some advantages. First, the TB parameters have direct physical significance, especially when only a few are required to shape the bands. Second, the properties of the bands calculated for 2H-NbS_2 and $\text{Co}_{1/3}\text{NbS}_2$ can be easily compared through these parameters. Finally, the differences between calculated and measured spectra can be explored by adjusting these parameters, possibly leading to improved electronic modeling of the material.

The unfolded spectrum is shown in Fig. 9(a). The overall spectral distribution below the Fermi level broadly follows the spectral shape of the 2H-NbS_2 conduction bands. Along with commonalities, some differences are readily spotted. The most apparent difference emerges through the bonding Nb d band found submerged below the Fermi level near the Γ point. At this point, the *ab initio* electronic structure calculations in $\text{Co}_{1/3}\text{NbS}_2$ qualitatively agree with experimental spectra. The comparison of spectra along the $\Gamma - K_0$ line is shown in Fig. 9(b). The agreement is not perfect regarding the band's position, being off by some 0.15 eV. Shifting the calculated bands to higher binding energies by this amount would improve the agreement. The physical origin of the bonding band appearing below the Fermi level at the Γ point can be readily traced to the big Co-Nb orbital overlap, $t_{\text{Co}} \approx 0.23$ eV. It emerges as the dominant hybridization integral in the whole tight-binding parametrization of $\text{Co}_{1/3}\text{NbS}_2$. At the same time, the position of the antibonding band is saved from the shift for symmetry reasons.

On the other hand, in Fig. 9(a) one observes a significant reconstruction of spectra calculated for $\text{Co}_{1/3}\text{NbS}_2$ relative to 2H-NbS_2 conduction bands, with the band reorganization being particularly pronounced around the K and M points, i.e., at the boundary of the first Brillouin zone of $\text{Co}_{1/3}\text{NbS}_2$. These effects are difficult to observe in experimental spectra. On the level of TB modeling, the dominant source of this reconstruction of bands can be traced back to the variation

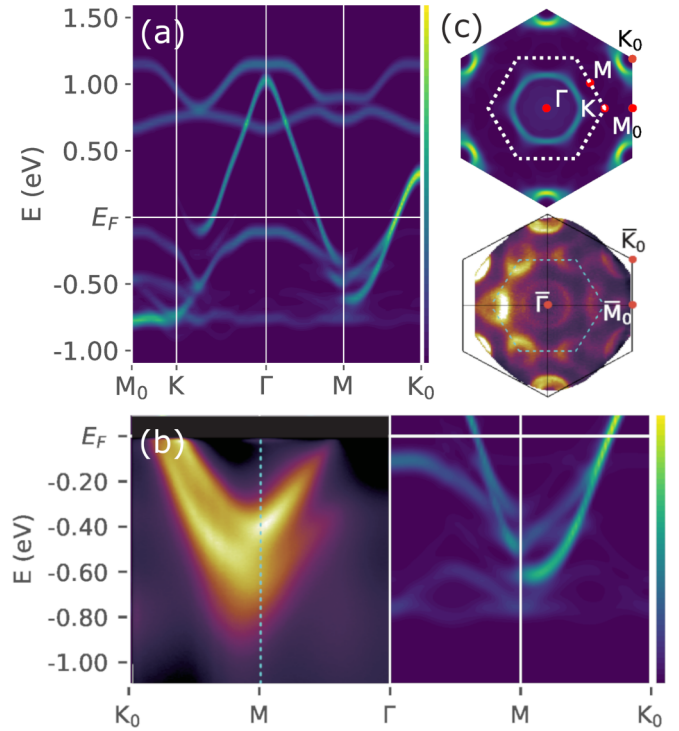


FIG. 9. (a) The electronic spectra calculated for $\text{Co}_{1/3}\text{NbS}_2$ (see Ref. [35]) in the particular antiferromagnetically ordered state, termed the *hexagonal order of the first kind* (HOFK). The electronic bands are shown unfolded along $M_0\Gamma K_0$ line of Brillouin zone of 2H-NbS_2 , averaged over three equivalent directions by the hexagonal symmetry. (b) The comparison between measured and calculated spectra along the $\Gamma - K_0$ line. (c) The comparison between the calculated and the experimental spectra at the Fermi level. The most obvious qualitative difference is the lack of the β -band signal in the DFT-calculated spectra along the boundary of the first Brillouin zone of the of $\text{Co}_{1/3}\text{NbS}_2$, shown in a dotted line. The color bar indicates the intensity scale.

of the in-plane Nb-Nb hybridization integrals, imposed by the antiferromagnetic superstructure, as well as to the strong spin polarization at Co orbitals. Both mechanisms can be verified by exploring other magnetic structures, e.g., the ferromagnetically ordered state, or by calculating the unfolded spectra upon reducing the spatial variation of Nb-Nb hybridization integrals within the TB parametrization. These changes lead to the Nb $4d$ conduction bands smoothly varying throughout the large Brillouin zone.

Interestingly, and more importantly, no extra features appear at the Fermi level in the same region of the k space. This comparison with the experimental spectrum is shown in Fig. 9(c). With no signal around K and M points, the calculated spectrum at the Fermi level bears no marks of the β band. At the Fermi level the only qualitative departure from the picture of rigidly-filled 2H-NbS_2 bands appears though only one ring encircling the Γ point, instead of two.

B. Beyond DFT

For a particular magnetic state offered as a seed, the spin-polarized DFT calculation tends to self-consistently determine

the magnetic state on Co atoms in the specific magnetic configuration and produce the appropriate electronic bands. The calculation results with Co atoms with sizable magnetic moments, with some lower and upper *Hubbard states* populated by spin-up or spin-down electrons. The resulting bands conform to the TB description where, apart from the spin character at particular Co orbitals, the other parameters stay essentially unaltered among different magnetic states [46]. The TB parametrization of electronic bands in various magnetic states leads to results where the hybridization integral between Co and Nb orbitals (t_{Co}) is approximately two times bigger than any other hybridization integral in the model, including those responsible for the Nb $4d$ bands dispersions in directions parallel and perpendicular to layers. Further on, the separation between spin-down and spin-up $3d$ states at Co atoms below and above the Fermi level is one order of magnitude bigger than any hybridization integral in the TB model and approximately six times bigger than the Co-Nb orbital energy level separation. The Nb orbital energy level and the calculated Fermi energy lie in-between energy levels of the full Co orbital of one spin projection, and the empty Co orbital of the opposite spin projection. This description conforms with the picture of a strongly correlated electron system. It may be safely said that the DFT producing an array of local magnetic moments, with their hosting orbitals strongly hybridized to relatively narrow metallic bands, is a good indication of a strongly correlated electron system. Conversely, strongly correlated electron systems are poorly treated by common DFT, or by any approach where the determinant of single-particle states is used to describe the many-body wave function. Whereas some properties may be caught better than others, the description of low energy dynamics of a mixture of magnetic moments and itinerant electrons is obliged to fail in such approaches.

The methods to deal with strongly correlated electron systems have been developing intensively in recent decades. A proper theoretical framework for the present problem may be possibly sought within the combination of the density functional theory and the dynamical mean-field theory (DFT + DMFT) [70,71], with the Hund's rule coupling properly included to account for the spin-3/2 Co state [72]. With the implementation particular to $Co_{1/3}NbS_2$ still being awaited for, the poor man's alternative would be to apply the qualitative recipes based on previous works. The hint may be sought in the previous treatments of centers with big local Coulomb repulsion in contact with conducting electrons, appearing within the Anderson impurity model, in heavy fermion systems, and in electronic models for copper oxide superconductors. Several approaches to strongly correlated electron systems result in effectively reducing the hopping integral between conduction band states and the large- U orbital, accompanied by the big shift in the orbital energy at the strong- U center level towards the Fermi level [73–78]. These two changes, appearing within the *slave-boson* approach to strongly correlated electron systems, possibly represent the shortest path to what is called the Kondo-Anderson-Suhl resonance in systems with magnetic impurities, or to resonance bands appearing at the Fermi level in crystalline systems. Physically they are related to the electron of one spin projection blocking the electrons of other spin projection from

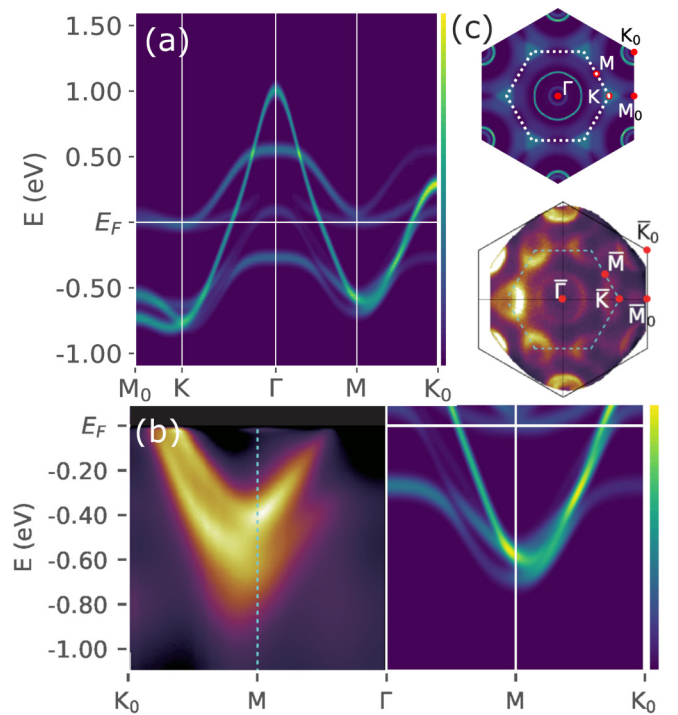


FIG. 10. (a) The unfolded spectra of the system modeled through the tight-binding reparameterization, with the parameters initially taken from our DFT/Wannier90 calculation. Very few modifications, detailed in the text, are inspired by studies of the effects of strong electron correlations in other systems. The image shows the spectral density along the $M_0\Gamma K_0$ line in the k space. (b) The comparison between measured and calculated spectra along the $\Gamma - K_0$ line. The faint β -band signal appears on both sides, near the top of the M vertical line. The bonding band signals approach the Γ point at approximately the same energy from both sides. (c) The comparison between the calculated and the experimental at the Fermi level. The dotted lines mark the boundary of the first Brillouin zone of the of $Co_{1/3}NbS_2$. Both subpanels show the β -band signal that spreads along this boundary, particularly enhanced around the K point.

hopping into the large- U orbital, resulting in the formation of the low-energy resonance related to the local spin-flip process. The width of the resonance (band) depends very much on the initial parameters like bare overlap integral. It is expected to be much smaller in the systems involving f orbitals than in the systems based on d orbitals. In our case, these changes amount to reducing t_{Co} and shifting the Co orbital energy level towards the Fermi level. Also, all Co are to be treated equivalently, to account for a system without magnetic order. Performed together, the changes in t_{Co} and Co orbital energy can keep the position of the Nb-bonding band at the Γ point below the Fermi level, in the place indicated by experimental spectra. At the same time, the reparameterization shifts the bottom of the narrow Co band to the Fermi level. The resulting spectra are shown in Fig. 10. The Nb bands in Fig. 10(a) are found to run continuously throughout the first Brillouin zone of $2H-NbS_2$, similarly as in measured spectra. In contrast to bare DFT results shown in Fig. 9(c), Fig. 10(c) shows the calculated signal appearing along the boundary of the first Brillouin zone of $Co_{1/3}NbS_2$, getting particularly strong near the K points, similarly to observed β bands. The comparison between the

experimental and calculated spectra along the $\Gamma - K_0$ line is shown in Fig. 10(b).

Not unseen in the previous instances in strongly correlated electron systems, the required renormalizations of two tight-binding parameters are relatively big, with the Co-Nb level separation requiring reduction from 0.83 eV to approximately 0.25 eV, whereas the Co-Nb hybridization demands the resizing by approximately one-half. Although the shift in energy level, much studied within the slave-boson approach to strongly correlated systems, produces the resonance band at the Fermi level, it should be kept in mind that Fig. 10 represents only the partial result. The figure represents the spectrum related to auxiliary fermion fields, whose features remain present upon returning to real fermions, but accompanied by the significant transfer of spectral weight into the energy range of the unrenormalized energy levels [76–78]. As announced, a more detailed study of this particular topic is yet to follow.

It remains to comment upon another possible mechanism that can influence the TB parameters in the same direction. This mechanism is the relaxation of crystal layers in the close vicinity of the sample surface. An increase of the interlayer separation near the sample surface is expected to locally decrease the Co-Nb hybridization integral, as well as the separation between Co and Nb energy levels. The latter would come from the change in electrostatic potential of the electron in the Co orbital, as the Co atom moves further away from negatively charged Nb atoms. We have not studied numerically the surface relaxation in $\text{Co}_{1/3}\text{NbS}_2$, and it remains to be seen if the required significant changes in parameters may be accounted for in this way. However, one must keep in mind that even within the scenario based on surface relaxation, the problem of strong correlations remains present and unattended, both in bulk and near the surface.

VI. CONCLUSIONS

We have presented the first ARPES study on $\text{Co}_{1/3}\text{NbS}_2$ accompanied by a detailed theoretical analysis of the observed electronic structure. At first, the measured electronic structure shows remarkable similarity to the parent material 2H-NbS₂, whose electronic structure is known from previous measurements and is well described by DFT electronic structure calculations. In the observed spectra, we find no signs of the 2H-NbS₂ bands getting folded or gapped at the boundary of the Brillouin zone of $\text{Co}_{1/3}\text{NbS}_2$, i.e., the superlattice of 2H-NbS₂ imposed by the intercalation. Motivated by that and by comparisons between electronic structures of intercalates and the host materials in the literature, we systematically compare the features observed in $\text{Co}_{1/3}\text{NbS}_2$ with those appearing in 2H-NbS₂ spectra. The DFT calculation for 2H-NbS₂ with additional 4/3 electrons per unit cell, simulating the charge transfer of two electrons per Co into NbS₂ layers, produces the shift in Fermi level of approximately 0.5 eV. The shift accounts rather well for the observed position Fermi level relative to what we identify as the Nb 4*d* bands in $\text{Co}_{1/3}\text{NbS}_2$. All this goes in favor of the 2H-NbS₂ quasi-rigid-band picture.

However, several deviations from the rigid-band picture will entirely change our view of magnetically intercalated

TMDs. One of these deviations corresponds to the local maximum of the bonding Nb *d* band being found submerged deep below the Fermi level at Γ point, whereas it is expected to stand above the Fermi level in the rigid-band picture. The observed change in the bonding band corresponds to very strong Co-Nb hybridization, bigger than any other parameter shaping the 2H-NbS₂ conduction bands. This overwhelmingly strong hybridization questions the applicability of the low-order perturbative concepts to the calculation of magnetic interactions in intercalates [33]. The second, even more important, deviation from the 2H-NbS₂ electronic structure is appearance of a new band unforeseen by DFT calculations, referred in this paper as the β band. In our spectra, it appears as the necklace of shallow electron pockets centered at six K-point corners of the first Brillouin zone of $\text{Co}_{1/3}\text{NbS}_2$. The strong electron correlations impose as a possible explanation for the appearance of this new band at the Fermi level, which we also explore to a certain degree. The strong hybridization and unexpected shallow band at the Fermi level speak together in favor of $\text{Co}_{1/3}\text{NbS}_2$ being considered a strongly correlated electron system, with the coupling between metallic and magnetic layer playing the essential role. These substantial shifts in view on magnetically intercalated TMDs need to be further explored experimentally and theoretically.

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While in the review process, we became aware of two ARPES studies of $\text{Co}_{1/3}\text{NbS}_2$ [79,80]. These studies do not conflict with our discoveries.

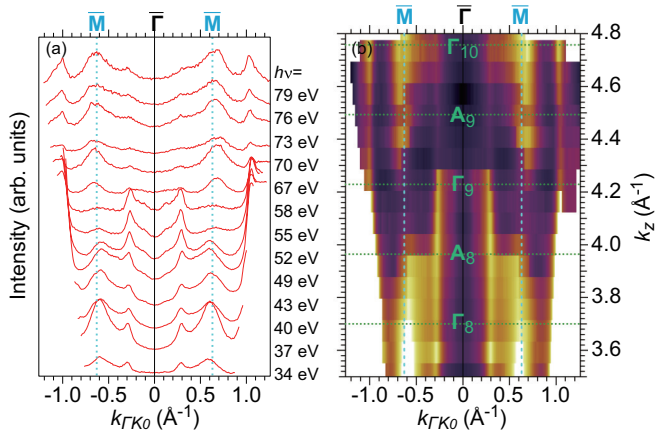


FIG. 11. (a) The photon energy dependence of the momentum distribution curves (MDCs) along the ΓK_0 direction at the Fermi level. The MDCs are taken at the same photon energies as in Fig. 8. The same normalization applies as in Fig. 4. (b) ARPES intensity plot of the k_z - $k_{\Gamma K_0}$ band dispersion at Fermi level. The labels Γ_n and A_n have been defined in relation to Fig. 7. Two vertical-dashed lines shown in cyan in both panels represent the boundary of the Brillouin zone of $\text{Co}_{1/3}\text{NbS}_2$ and correspond to the M point at $k_z = 0$.

APPENDIX A: THE β -BAND AND THE k_z DISPERSION

Figure 11(a) shows the momentum distribution curves (MDCs) at the Fermi level, measured by using horizontally polarized photons at various photon energies. Figure 11(b) shows the ARPES intensity plot at the Fermi level within the rectangular section in k space, extending along k_z and $k_{\Gamma K_0}$ directions. This plot is also produced from the ARPES spectra taken at various photon energies. In Fig. 11(a) the antibonding Nb $4d$ band can be observed at $\pm 0.3 \text{ \AA}^{-1}$, and as well defined vertical lines in Fig. 11(b). The signal related to the β band is observed in both panels along the vertical cyan lines marking the boundary of the first BZ of $\text{Co}_{1/3}\text{NbS}_2$. The latter signal dominates Fig. 11(b).

The momentum along the z direction for Fig. 11(b) is calculated using the expression in Eq. (1). For the parameter V_0 in Eq. (1) we adopt the value proposed previously for the sister compound $\text{Cr}_{1/3}\text{NbS}_2$, i.e. $V_0 = 14 \text{ eV}$ [31]. It may be remarked that the periodicity of $2\pi/c$ along k_z is not observed in Fig. 11(b). Some possible reasons have been already discussed in Sec. IV, in relation to Figs. 4 and 8. In that respect, a wider photon energy range is needed to observe the periodicity in k_z .

APPENDIX B: DFT CALCULATIONS IN 2H-NbS₂

We made a long way to compare the measured electronic structure of $\text{Co}_{1/3}\text{NbS}_2$ with the calculated electronic structures in 2H-NbS₂ and $\text{Co}_{1/3}\text{NbS}_2$. Here we enclose three of several DFT calculations that we made for this comparison. Some more can be found in the Supplemental Material [46].

In Figs. 12(a) and 12(b) we show the DFT results for the electronic band structure of 2H-NbS₂. The spectra are colored to reflect the dominant and subdominant contributions of Nb and S atomic orbitals. The results depicted in panel (a) stand for the unit cell obtained upon relaxing the atomic forces within the DFT calculation. The calculation did not include the electronic charge transfer from Co atoms into NbS₂ layers. After the rigid band shift, introduced to account for the transfer, this electronic structure differs from the one observed in $\text{Co}_{1/3}\text{NbS}_2$, with all points of disagreement detailed in the main text.

Figure 12(b) shows the electronic structure of 2H-NbS₂ with the lattice parameters borrowed from DFT-relaxed AF-ordered $\text{Co}_{1/3}\text{NbS}_2$. Also, $2/3$ electrons per Nb were added into the calculation to account for the charge transfer from Co ions, compensated, as usual, by an appropriate positive homogenous background charge. Entirely expected, the added electrons shift the bands towards higher binding energies. Apart from the shift, the main difference relative to the panel (a) is the increase in separation between bonding and antibonding Nb $4d$ bands and the change in position of the highest-laying sulfur band. The comparison between

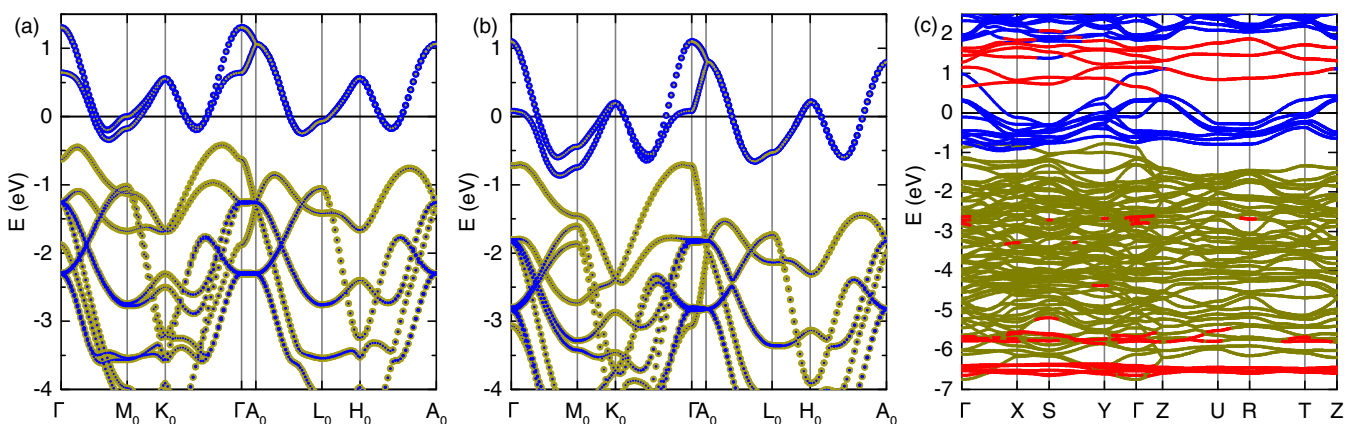


FIG. 12. (a) DFT-calculated electronic bands of 2H-NbS₂ with DFT-relaxed lattice parameters. (b) DFT-calculated electronic bands of 2H-NbS₂ with additional $2/3$ electrons per Nb atom and the lattice parameters borrowed from DFT-relaxed $\text{Co}_{1/3}\text{NbS}_2$ structure. Blue and yellow colors reflect the relative weights of niobium and sulfur orbitals in particular states. (c) DFT-calculated electronic bands of $\text{Co}_{1/3}\text{NbS}_2$ in antiferromagnetically ordered state. The colors mark the dominant character of electronic states: blue indicates that the electronic state is predominantly composed of niobium orbitals, yellow represents the dominance of sulfur orbitals, and red specifies the dominance of cobalt orbitals in the electronic state.

(a) and (b) also points to the very high sensitivity of the k_z dispersive bands (one niobium and one sulfur dominated) to the interlayer distance, particularly affecting the spectra around the Γ point. Despite these differences, the bonding Nb 4d band at the Γ point remains above the Fermi level for both cases, revealing that the explicit Co-Nb hybridization is essential for the qualitative and quantitative understanding of the bonding band in $\text{Co}_{1/3}\text{NbS}_2$. Still, the results shown in panel (b) have been chosen for our first comparison with experimental spectra in Fig. 3. For a better match, in Fig. 3 the spectra in Fig. 12(b) were shifted to higher binding energy by 0.1 eV. On that occasion and for the better match, the spectrum in Fig. 12(b) was further shifted by 0.1 eV to the higher binding energy.

Figure 12(c) shows the result of the DFT calculation for $\text{Co}_{1/3}\text{NbS}_2$ in the antiferromagnetically ordered state

(HOFK). The calculation uses the Hubbard $U = 5$ eV and the DFT-relaxed atomic structure. These results have been used in Sec. V A to compare against the experimental spectra upon unfolding into six times bigger first Brillouin zone of 2H-NbS_2 . The spectra are colored to reflect the dominant contributions of Nb, S, and Co orbitals. For better visibility, the red points (the Co-dominated states) were painted last to avoid being covered by other colors. According to the calculation, the main contribution of Co orbitals to occupied states is seen around 6 eV below the Fermi level, well outside the binding energy range covered by our ARPES data. The Co-dominated states closest to the Fermi level are the empty states above the Fermi level. Co orbitals also contribute to the Nb conduction bands, particularly the bonding band, without becoming dominant. More details can be found in Ref. [35].

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