

# $K^*(892)0$ and $\phi(1020)$ production at midrapidity in pp collisions at $\sqrt{s} = 8$ TeV

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**$K^*(892)^0$  and  $\phi(1020)$  production at midrapidity in  $pp$  collisions at  $\sqrt{s} = 8$  TeV**S. Acharya *et al.*\*  
(ALICE Collaboration) (Received 16 November 2019; accepted 21 May 2020; published 17 August 2020)

The production of  $K^*(892)^0$  and  $\phi(1020)$  in  $pp$  collisions at  $\sqrt{s} = 8$  TeV was measured by using Run 1 data collected by the ALICE collaboration at the CERN Large Hadron Collider (LHC). The  $p_T$ -differential yields  $d^2N/dydp_T$  in the range  $0 < p_T < 20$  GeV/ $c$  for  $K^{*0}$  and  $0.4 < p_T < 16$  GeV/ $c$  for  $\phi$  have been measured at midrapidity,  $|y| < 0.5$ . Moreover, improved measurements of the  $K^{*0}(892)$  and  $\phi(1020)$  at  $\sqrt{s} = 7$  TeV are presented. The collision energy dependence of  $p_T$  distributions,  $p_T$ -integrated yields, and particle ratios in inelastic  $pp$  collisions are examined. The results are also compared with different collision systems. The values of the particle ratios are found to be similar to those measured at other LHC energies. In  $pp$  collisions a hardening of the particle spectra is observed with increasing energy, but at the same time it is also observed that the relative particle abundances are independent of the collision energy. The  $p_T$ -differential yields of  $K^{*0}$  and  $\phi$  in  $pp$  collisions at  $\sqrt{s} = 8$  TeV are compared with the expectations of different Monte Carlo event generators.

DOI: [10.1103/PhysRevC.102.024912](https://doi.org/10.1103/PhysRevC.102.024912)**I. INTRODUCTION**

The study of resonances plays an important role in understanding particle production mechanisms. Particle production at the energies of the CERN Large Hadron Collider (LHC) has both soft- and hard-scattering origins. The hard scatterings are perturbative processes and are responsible for production of high- $p_T$  particles, whereas the bulk of the particles are produced due to soft interactions, which are nonperturbative in nature. High- $p_T$  particles originate from fragmentation of jets, and their yield can be calculated by folding the perturbative quantum chromodynamics (pQCD) calculations for elementary parton-parton scatterings with universal fragmentation functions determined from experimental data [1–3]. The production yield of low- $p_T$  particles cannot be estimated from the first principles of QCD, hence predictions require phenomenological models in the nonperturbative regime. In this paper, we discuss  $K^{*0}(892)$  and  $\phi(1020)$  production in  $pp$  collisions at  $\sqrt{s} = 8$  TeV. The  $\phi(1020)$  meson is a vector meson consisting of strange quarks ( $s\bar{s}$ ). The production of  $s\bar{s}$  pairs was found to be significantly suppressed, compared with  $u\bar{u}$  and  $d\bar{d}$  pairs in  $pp$  collisions due to the larger mass of the strange quark [4,5]. The  $K^{*0}(892)$  is a vector meson with a similar mass to the  $\phi(1020)$ , but differs in strangeness content by one unit, which may help in understanding the strangeness production dynamics. Measurements of particle production in inelastic  $pp$  collisions provide input to tune the QCD-inspired Monte Carlo (MC) event generators such as EPOS [6],

PYTHIA [7] and PHOJET [8,9]. Furthermore, the measurements in inelastic  $pp$  collisions at  $\sqrt{s} = 8$  TeV reported in this paper serve as reference data to study nuclear effects in proton-lead ( $p$ -Pb) and lead-lead (Pb-Pb) collisions.

In this article, the  $p_T$ -differential and  $p_T$ -integrated yields and the mean transverse momenta of  $K^{*0}(892)$  and  $\phi(1020)$  at midrapidity in  $pp$  collisions at  $\sqrt{s} = 8$  TeV are presented. The energy dependence of the  $p_T$  distributions and particle ratios to the yields of charged pions and kaons in  $pp$  collisions is examined and discussed. The yields of pions and kaons measured previously by ALICE [10–12] at  $\sqrt{s} = 0.9, 2.76,$  and  $7$  TeV are used to obtain the yields in  $pp$  collisions at  $\sqrt{s} = 8$  TeV. Moreover, updated measurements of the  $K^{*0}(892)$  and  $\phi(1020)$  at  $\sqrt{s} = 7$  TeV are presented; our first measurements for that collision system were published in Ref. [13]. These results include an extension of the  $K^{*0}(892)$  measurement to high  $p_T$  and an improved re-analysis of  $\phi(1020)$ . This measurement has updated track-selection cuts, which are identical to those described for the measurements at  $\sqrt{s} = 8$  TeV, has an improved estimate of the systematic uncertainties, and extends to greater values of  $p_T$ . Throughout this paper, the results for  $K^*(892)^0$  and  $\bar{K}^*(892)^0$  are averaged and denoted by the symbol  $K^{*0}$ , while  $\phi(1020)$  is denoted by  $\phi$  unless specified otherwise.

This article is organized as follows: The experimental setup is briefly explained in Sec. II and the analysis procedure is given in Sec. III. The results and discussions are presented in Sec. IV followed by the conclusions in Sec. V.

**II. EXPERIMENTAL SETUP**

The ALICE detector can be used to reconstruct and identify particles over a wide momentum range, thanks to the low material budget, the moderate magnetic field (0.5 T) and the presence of detectors with excellent particle identification (PID) techniques. A comprehensive description of the detector

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and its performance during Run 1 of the LHC is reported in Refs. [14,15].

The detectors used for this analysis are described in the following. The V0 detectors are two plastic scintillator arrays used for triggering and event characterization. They are placed along the beam direction at 3.3 m (V0A) and  $-0.9$  m (V0C) on either side of the interaction point with a pseudorapidity coverage of  $2.8 < \eta < 5.1$  and  $-3.7 < \eta < -1.7$ , respectively. The inner tracking system (ITS), which is located between 3.9 and 43 cm radial distance from the beam axis, is made up of six layers of cylindrical silicon detectors (two layers of silicon pixels, two layers of silicon drift, and two layers of double-side silicon strips). Because it provides high-resolution space points close to the interaction point, the momentum and angular resolution of the tracks reconstructed in the time projection chamber (TPC) is improved. The TPC is the main tracking device covering full azimuthal acceptance and the pseudorapidity range  $-0.9 < \eta < 0.9$ . It is a  $92 \text{ m}^3$  cylindrical drift chamber filled with an active gas. It is divided into two parts by a central cathode, and the end plates consist of multiwire proportional chambers. The TPC is also used for particle identification via the measurement of the specific ionization energy loss ( $dE/dx$ ) in the gas. The time-of-flight (TOF) detector surrounds the TPC and consists of large multigap resistive plate chambers. It has pseudorapidity coverage  $-0.9 < \eta < 0.9$ , full azimuthal acceptance, and an intrinsic time resolution of  $< 50$  ps. The TOF is used for particle identification at intermediate momenta. The particle identification techniques based on the TPC and TOF signals are presented in detail in the next section.

### III. DATA ANALYSIS

The measurements of  $K^{*0}$  and  $\phi$  meson production in  $pp$  collisions at  $\sqrt{s} = 8$  TeV (7 TeV) were performed during Run 1 data taking with the ALICE detector in 2012 (2010) using a minimum bias trigger, as discussed in Sec. III A. A total of around 45 M events were analyzed for both  $\sqrt{s} = 7$  and 8 TeV and the corresponding integrated luminosities are 0.72 and  $0.81 \text{ nb}^{-1}$ , respectively. The  $K^{*0}$  and  $\phi$  resonances are reconstructed via their hadronic decay channels with large branching ratios ( $B$ ):  $K^{*0} \rightarrow \pi^{\pm}K^{\mp}$  with  $B = 66.6\%$  and  $\phi \rightarrow K^+K^-$  with  $B = 49.2\%$  [16]. Some older measurements of  $\phi$  used a value of 48.9% for the  $\phi \rightarrow K^+K^-$  branching ratio [17]; when comparing different  $\phi$  measurements, the older results are scaled to account for the new branching ratio.

#### A. Event and track selection

For  $pp$  collisions at  $\sqrt{s} = 8$  TeV, the events were selected with a minimum bias trigger based on a coincidence signal in V0A and V0C. For  $pp$  collisions at  $\sqrt{s} = 7$  TeV, the trigger condition is same as in Ref. [13]. The ITS and TPC are used for tracking and reconstruction of charged particles and of the primary vertex. Events having the primary vertex coordinate along the beam axis within 10 cm from the nominal interaction point are selected. Pile-up events are rejected if more than one vertex is found with the silicon pixel detector (SPD). A primary track traversing the TPC induces signals on

a maximum of 159 tangential pad-rows, each corresponding to one cluster used in track reconstruction. For this analysis, high-quality charged tracks are used to select pion and kaon candidates coming from the decays of  $K^{*0}$  and  $\phi$ . Tracks are required to have at least 70 TPC clusters and a  $\chi^2$  per track point ( $\chi^2/N_{\text{clusters}}$ ) of the track fit in the TPC less than four. Moreover, tracks must be associated with at least one cluster in the SPD. To ensure a uniform acceptance by avoiding the edges of the TPC, tracks are selected within  $|\eta| < 0.8$ . To reduce contamination from secondary particles coming from weak decays, cuts on the distance of closest approach to the primary vertex in the transverse plane ( $\text{DCA}_{xy}$ ) and longitudinal direction ( $\text{DCA}_z$ ) are applied. The value of  $\text{DCA}_{xy}$  is required to be less than seven times its resolution:  $\text{DCA}_{xy}(p_T) < (0.0105 + 0.035p_T^{-1.1}) \text{ cm}$  ( $p_T$  in  $\text{GeV}/c$ ), and  $\text{DCA}_z$  is required to be less than 2 cm. To improve the global resolution, the  $p_T$  of each track is chosen to be greater than  $0.15 \text{ GeV}/c$ .

In the TPC, particles are identified by measuring the  $dE/dx$  in the TPC gas, whereas in the TOF it is done by measuring the time of flight. The particles in the TPC are selected by using a cut on the difference of the mean value of the  $dE/dx$  to the expected  $dE/dx$  value for a given species divided by the resolution  $\sigma_{\text{TPC}}$ . This cut is expressed in units of the estimated  $\sigma_{\text{TPC}}$ . As described below, this is optimized for each analysis and depends on the signal-to-background ratio and on the transverse momentum. Particles are identified in the TOF by comparing the measured time of flight to the expected one for a given particle species. The cut is expressed in units of the estimated resolution  $\sigma_{\text{TOF}}$ . The TOF allows pions and kaons to be unambiguously identified up to momentum  $p \approx 1.5 \text{ GeV}/c$  and also removes contamination from electrons. The two mesons can be distinguished from (anti)protons up to  $p \approx 2.5 \text{ GeV}/c$ .

For  $K^{*0}$  and  $\phi$  reconstruction, three TPC PID selection criteria are used, depending on the momentum of the daughter particle. For  $pp$  collisions at  $\sqrt{s} = 8$  TeV, both pions and kaons are selected by using a cut of  $|N\sigma_{\text{TPC}}| < 2.0$  for  $p(K^{\pm}, \pi^{\pm}) > 0.4 \text{ GeV}/c$ . Here,  $p(K^{\pm}, \pi^{\pm})$  denotes the momenta of pions and kaons. Similarly, for  $p(K^{\pm}, \pi^{\pm}) < 0.3 \text{ GeV}/c$ , a cut of  $|N\sigma_{\text{TPC}}| < 6.0$  is applied, while a cut of  $|N\sigma_{\text{TPC}}| < 4.0$  for  $0.3 < p(K^{\pm}, \pi^{\pm}) < 0.4 \text{ GeV}/c$  is applied. For the new analysis of the  $K^{*0}$  ( $\phi$ ) at  $\sqrt{s} = 7$  TeV, the specific energy loss for pion and kaon candidates is required to be within  $2\sigma_{\text{TPC}}$  ( $3\sigma_{\text{TPC}}$ ) of the expected mean, irrespective of the momentum. Also, a TOF  $3\sigma_{\text{TOF}}$  veto cut is applied for  $K^{*0}$  for both  $\sqrt{s} = 7$  and 8 TeV. ‘‘TOF veto’’ means that the TOF  $3\sigma$  cut is applied only for cases where the track matches a hit in the TOF.

#### B. Raw yield extraction

The  $K^{*0}$  ( $\phi$ ) meson is reconstructed through its dominant hadronic decay channel  $K^{*0} \rightarrow \pi^{\pm}K^{\mp}$  ( $\phi \rightarrow K^+K^-$ ) by calculating the invariant mass of its daughters at the primary vertex. The invariant-mass distribution of the decay daughter pairs is constructed by taking unlike-sign pairs of  $K$  and  $\pi$  ( $K$ ) candidates for  $K^{*0}$  ( $\phi$ ) in the same event. The rapidity of the  $\pi K$  ( $KK$ ) pairs is required to lie within the range  $|y_{\text{pair}}| < 0.5$ . As an example, the  $\pi K$  ( $KK$ ) invariant-mass distribution for

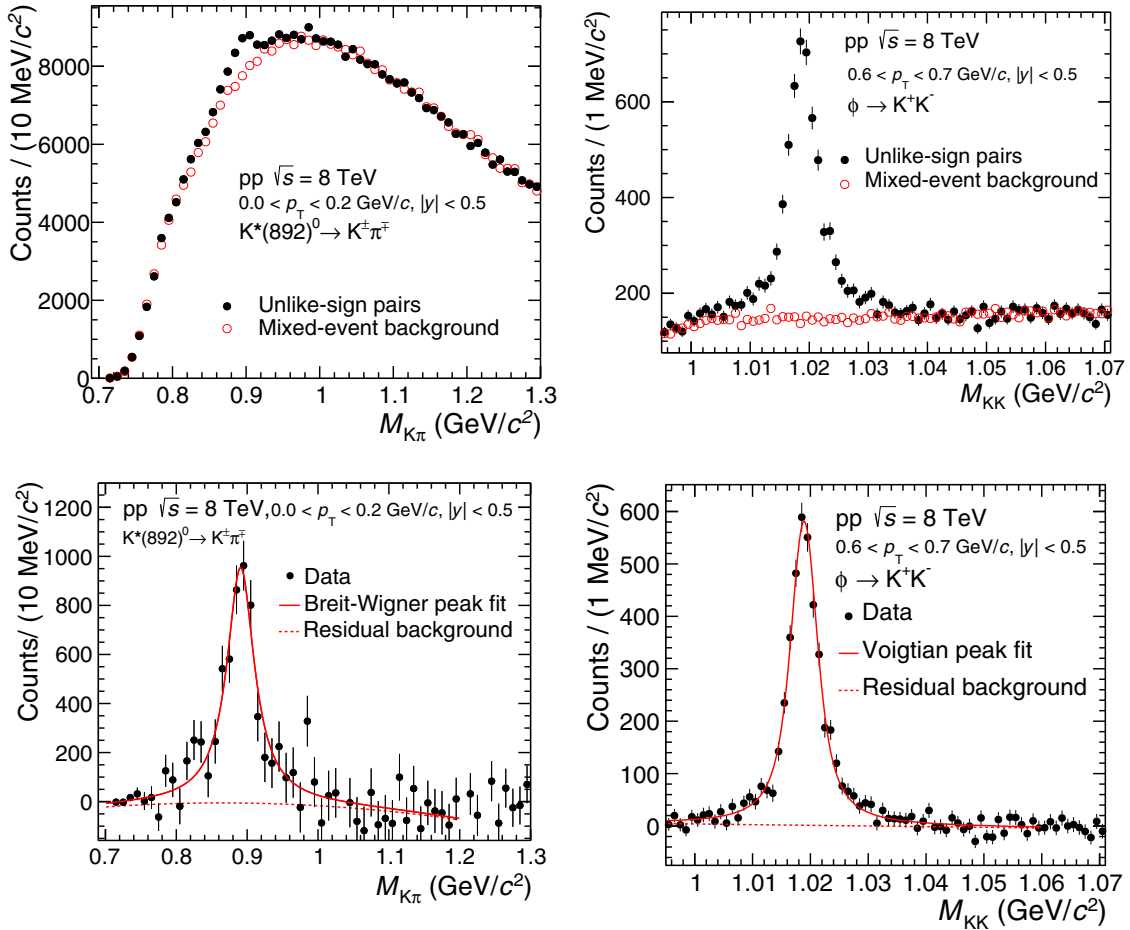


FIG. 1. (Upper panels) Invariant-mass distributions (closed black point) for the  $K^{*0}$  (left) and  $\phi$  (right) in  $pp$  collisions at 8 TeV in the  $p_T$  range  $0 < p_T < 0.2$  GeV/ $c$  and  $0.6 < p_T < 0.7$  GeV/ $c$ , respectively. The combinatorial background (open red circles) is estimated by using unlike-sign pairs from different events (mixed events). The statistical uncertainties are shown as bars. (lower panels)  $K\pi$  (left) and  $KK$  (right) invariant-mass distributions in the same  $p_T$  ranges after combinatorial background subtraction together with the fits to the signal and background contribution.

$\sqrt{s} = 8$  TeV is shown in Fig. 1 for  $0 < p_T < 0.2$  GeV/ $c$  ( $0.6 < p_T < 0.7$  GeV/ $c$ ).

The shape of the uncorrelated background is obtained via the event mixing technique, calculating the invariant-mass distribution of unlike-sign  $\pi^\pm K^\mp$  ( $K^{*0}$ ) or  $K^+K^-$  ( $\phi$ ) combinations from different events, as shown in the upper panel of Fig. 1. To reduce statistical uncertainties each event was mixed with five other similar events. For  $\sqrt{s} = 8$  TeV, the mixed-event background is normalized in the mass range  $1.1 < M_{K\pi} < 1.5$  GeV/ $c^2$  ( $1.04 < M_{KK} < 1.06$  GeV/ $c^2$ ) for  $K^{*0}$  ( $\phi$ ) so that it has the same integral as the unlike-charge distribution in that normalization region. For  $\sqrt{s} = 7$  TeV, the mixed event background is normalized in the mass range  $1.1 < M_{K\pi} < 1.15$  GeV/ $c^2$  and  $1.048 < M_{KK} < 1.052$  GeV/ $c^2$  for  $K^{*0}$  and  $\phi$ , respectively. To avoid mismatches due to different acceptances and to assure a similar event structure, only tracks from events with similar vertex positions ( $\Delta z < 1$  cm) and track multiplicities ( $\Delta n < 5$ ) are mixed. For the  $\phi$  meson in  $pp$  collisions at  $\sqrt{s} = 7$  TeV, the multiplicity difference for event mixing is restricted to  $\Delta n \leq 10$ . This combinatorial background is subtracted from

the unlike-charge mass distribution in each  $p_T$  bin. Due to an imperfect description of the combinatorial background, as well to the presence of a correlated background, a residual background still remains. The correlated background can arise from correlated  $K\pi$  ( $KK$ ) pairs for  $K^{*0}$  ( $\phi$ ), misidentified particle decays, or jets.

The  $K^{*0}$  raw yield is extracted from the  $K\pi$  invariant-mass distribution in different  $p_T$  bins between 0 and 20 GeV/ $c$ . After the combinatorial background subtraction the invariant-mass distribution is fit with the combination of a Breit-Wigner function for the signal peak and a second-order polynomial for the residual background. The fit function for  $K^{*0}$  is given by

$$\frac{dN}{dM_{K^\pm\pi^\mp}} = \frac{A}{2\pi} \frac{\Gamma_0}{(M_{K^\pm\pi^\mp} - m_0)^2 + \frac{\Gamma_0^2}{4}} + (BM_{K^\pm\pi^\mp}^2 + CM_{K^\pm\pi^\mp} + D). \quad (1)$$

Here  $m_0$  is the fitted mass pole of the  $K^{*0}$ ,  $\Gamma_0$  is the resonance width, and  $A$  is the yield of the  $K^{*0}$  meson.  $B$ ,  $C$ , and  $D$  are the fit parameters in the second-order polynomial.

The  $\phi$  raw yield is extracted from the  $KK$  invariant-mass distribution in different  $p_T$  bins between 0.4 and 16 GeV/ $c$  after the combinatorial background subtraction. For the  $\phi$  fit function, the detector mass resolution is taken into account due to the smaller width of the  $\phi$  meson. This is achieved by using a Breit-Wigner function convoluted with a Gaussian function, which is known as Voigtian function. The  $KK$  invariant-mass distribution is fit with the combination of a Voigtian function for the signal peak and a second-order polynomial for the residual background. The fit function for  $\phi$  is given by

$$\frac{dN}{dM_{KK}} = \frac{A\Gamma_0}{(2\pi^{3/2})\sigma} \int_{-\infty}^{+\infty} \exp\left(-\frac{(M_{KK} - m')^2}{2\sigma^2}\right) \times \frac{1}{(m' - m_0)^2 + \frac{\Gamma_0^2}{4}} dm' + (BM_{KK}^2 + CM_{KK} + D). \quad (2)$$

Here  $m_0$  is the fitted mass pole of  $\phi$ ,  $\Gamma_0$  is the resonance width fixed to the value in vacuum, and  $\sigma$  is the  $p_T$ -dependent mass resolution, which ranges from 1 to 3 MeV/ $c^2$ .

To extract the raw yields of  $K^{*0}$  ( $\phi$ ), for each  $p_T$  bin the invariant-mass histogram is integrated over the region  $0.801 < m_{K^{*0}} < 0.990$  ( $1.01 < m_\phi < 1.03$ ), i.e., a range of two to three times the nominal width around the nominal mass. The integral of the residual background function in the same range is then subtracted. The resonance yields beyond the histogram integration regions are found by integrating the tails of the signal fit function; these yields are then added to the peak yield computed by integrating the histogram.

### C. Normalization and correction

The  $K^{*0}$  and  $\phi$  raw yields  $N_{\text{raw}}$  are normalized to the number of inelastic  $pp$  collisions and corrected for the branching ratio ( $B$ ), vertex selection, detector geometric acceptance  $A$ , efficiency  $\varepsilon$ , and signal loss. The  $K^{*0}$  and  $\phi$  corrected yields are obtained by

$$\frac{d^2N}{dp_T dy} = \frac{N_{\text{raw}} \varepsilon_{\text{SL}}}{N_{\text{evt}} B d p_T dy \varepsilon_{\text{rec}}} f_{\text{norm}} f_{\text{vtx}}. \quad (3)$$

Here  $\varepsilon_{\text{rec}} = A\varepsilon$  is the correction that accounts for the detector acceptance and efficiency.  $\varepsilon_{\text{SL}}$  is the signal loss correction factor and accounts for the loss of  $K^{*0}$  ( $\phi$ ) mesons incurred by selecting events that satisfy only the ALICE minimum bias trigger, rather than all inelastic events. This is a particle species and  $p_T$ -dependent correction factor which is peaked at low  $p_T$ , indicating that events that fail the trigger selection have softer  $p_T$  spectra than the average inelastic event. The signal loss correction factor is about 1% at low  $p_T$  and negligible for  $p_T > 1$  GeV/ $c$ . This correction is the ratio of the  $p_T$  spectrum from inelastic events to the  $p_T$  spectrum from triggered events and it is evaluated by using Monte Carlo simulations.

$N_{\text{evt}}$  is the number of triggered events and a trigger efficiency  $f_{\text{norm}}$  is used to normalize the yield to the number of inelastic  $pp$  collisions. The value of the inelastic normalization factor for  $pp$  collisions at  $\sqrt{s} = 8$  TeV is  $0.77 \pm 0.02$ ,

TABLE I. Systematic uncertainties in the measurement of  $K^{*0}$  and  $\phi$  yields in  $pp$  collisions at  $\sqrt{s} = 7$  and 8 TeV. The global tracking uncertainty is  $p_T$  independent, while the other single-valued systematic uncertainties are averaged over  $p_T$ . The values given in ranges are minimum and maximum uncertainties depending on  $p_T$ .

Source	$pp, \sqrt{s} = 8$ TeV		$pp, \sqrt{s} = 7$ TeV	
	$K^{*0}$ (%)	$\phi$ (%)	$K^{*0}$ (%)	$\phi$ (%)
Signal extraction	8.7	1.9	8.5	4.0
Track selection	4.0	2.0	5.8	3.2
Material budget	0–3.4	0–5.4	0–3.4	0–5.4
Hadronic interaction	0–2.8	0–3.1	0–2.8	0–3.1
Global tracking efficiency	6.0	6.0	8.0	8.0
Branching ratio	Neg.	1.0	Neg.	1.0
Total	11.3–12.1	6.7–9.1	9.2–18.3	9.1–15.4

which is the ratio between the V0 visible cross section [18] and the inelastic cross section [19]. Similarly, we correct the yield with  $f_{\text{vtx}}$ , which is the ratio of the number of events for which a good vertex was found to the total number of triggered events. This is estimated to be 0.972. The new results at 7 TeV are normalized as in Ref. [13].

The  $\varepsilon_{\text{rec}}$  correction factor is determined from a Monte Carlo simulation using PYTHIA8 as the event generator and GEANT3 [20] as the transport code for the simulation of the detector response.  $\varepsilon_{\text{rec}}$  is obtained as the fraction of  $K^{*0}$  and  $\phi$  reconstructed after passing the same event selection and track quality cuts as used for the real events to the total number of generated resonances. This  $\varepsilon_{\text{rec}}$  value is small at low  $p_T$  and increases with increasing  $p_T$ . This value is independent of  $p_T$  above 5–6 GeV/ $c$  [13].

### D. Systematic uncertainties

The systematic uncertainties on the  $p_T$ -differential yield, summarized in Table I, are due to different sources such as signal extraction, background subtraction, track selection, global tracking uncertainty, knowledge of the material budget, and the hadronic interaction cross section.

The systematic uncertainties associated with the signal extraction are estimated by varying the fitting ranges, the order of residual backgrounds (from first order to third order), the width parameter and the mixed-event background-normalization range. The signal extraction systematic uncertainties also include the background subtraction systematic uncertainties, which are estimated by changing the methods used to estimate the combinatorial background (like sign and event mixing). The PID cuts and the track quality selection criteria are varied to obtain the systematic uncertainties due to the track selection. The relative uncertainties due to signal extraction and track selection for  $K^{*0}$  ( $\phi$ ) are 8.7% (1.9%) and 4% (2%), respectively, at  $\sqrt{s} = 8$  TeV.

The global tracking uncertainty is calculated by using ITS and TPC clusters for charged decay daughters. The relative systematic uncertainty due to the global tracking efficiency is 3% for charged particles, which results in a 6% effect for the  $\pi K$  and  $KK$  pairs used in the reconstruction of the  $K^{*0}$  and  $\phi$ ,



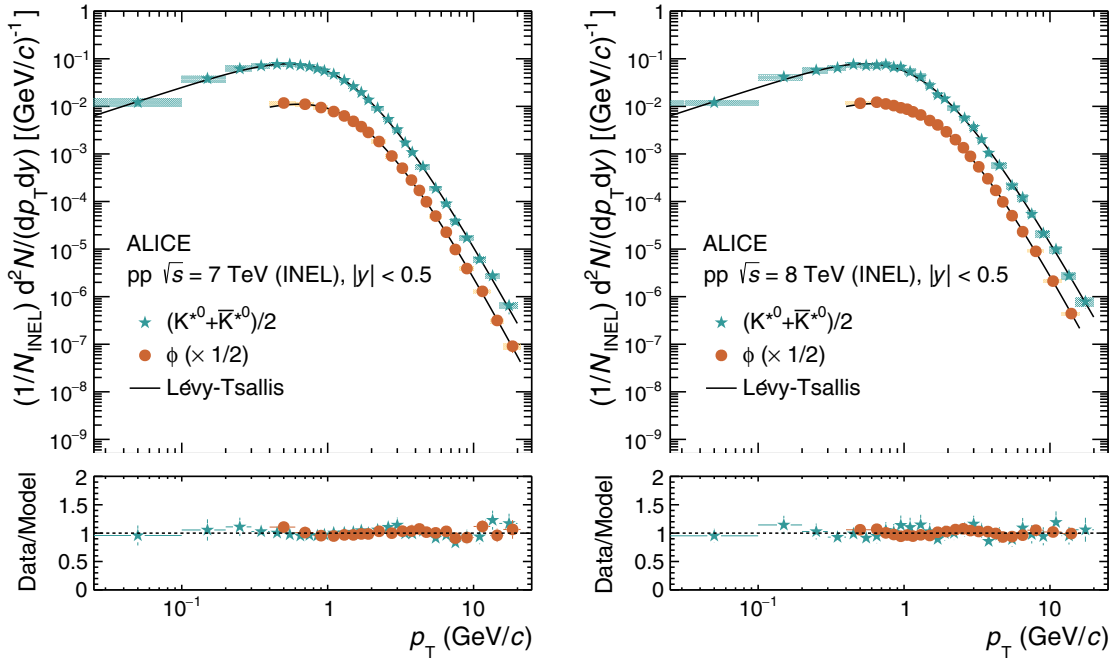


FIG. 2. Upper panels shows the  $p_T$  spectra of  $K^{*0}$  and  $\phi$  in inelastic  $pp$  collisions at 7 TeV (left) and 8 TeV (right) and fit with the Lévy-Tsallis distribution [23,24]. The normalization uncertainty in the spectra is  $^{+7.3}_{-3.5}\%$  for 7 TeV and 2.69% for 8 TeV. The vertical bars show statistical uncertainties and the boxes show systematic uncertainties. The lower panels show the ratio of data to the Lévy-Tsallis fit. Here, the bars show the systematic uncertainty.

respectively. The systematic uncertainty due to the residual uncertainty in the description of the material in the Monte Carlo simulation contributes up to 3.4% for  $K^{*0}$  (5.4% for  $\phi$ ). The systematic uncertainty due to the hadronic interaction cross section in the detector material is estimated to be up to 2.8% for  $K^{*0}$  and up to 3.1% for  $\phi$ . The uncertainties are accordingly propagated to the  $K^{*0}$  and  $\phi$  [21,22]. The total systematic uncertainties, which are found to be  $p_T$  dependent, range in from 11.3% to 12.1% for  $K^{*0}$  and from 6.7% to 9.1% for  $\phi$ . The uncertainties at  $\sqrt{s} = 7$  TeV are similarly estimated, totalling to comparable values, as seen in Table I.

#### IV. RESULTS AND DISCUSSION

##### A. Transverse momentum spectra and differential yield ratios

Here, we report the measurement of  $K^{*0}$  and  $\phi$  in inelastic  $pp$  collisions at  $\sqrt{s} = 8$  TeV in the range up to  $p_T = 20$  GeV/c for  $K^{*0}$  and up to  $p_T = 16$  GeV/c for  $\phi$ . Also, we present the new measurements of  $K^{*0}$  and  $\phi$  in inelastic  $pp$  collisions at  $\sqrt{s} = 7$  TeV in the range up to  $p_T = 20$  GeV/c for  $K^{*0}$  and up to  $p_T = 21$  GeV/c for  $\phi$ . The re-analyzed  $K^{*0}$  and  $\phi$  spectra in  $pp$  collisions at  $\sqrt{s} = 7$  TeV agree with the previously published values [13] within a few percent at low  $p_T$ . At higher  $p_T$  ( $\gtrsim 3$  GeV/c for  $K^{*0}$  and  $\gtrsim 2$  GeV/c for  $\phi$ ), the old and re-analyzed results can differ by up to 20%, although their systematic uncertainties still overlap. For both energies, the first bin of  $K^{*0}$  starts at  $p_T = 0$  GeV/c and for  $\phi$ , it starts at  $p_T = 0.4$  GeV/c. In Fig. 2, we show the transverse momentum spectra of  $K^{*0}$  and  $\phi$  at midrapidity  $|y| < 0.5$  and fit with the Lévy-Tsallis distribution [23,24]. The ratio of the

measured data to the Lévy-Tsallis fit shows good agreement of data with model within systematic uncertainties. The fit parameters are shown in Table II.

The energy evolution of the transverse momentum spectra for  $K^{*0}$  and  $\phi$  is studied by calculating the ratio of  $p_T$ -differential yields for inelastic events at  $\sqrt{s} = 7$  and 8 TeV to those at  $\sqrt{s} = 2.76$  TeV [25]. This is shown in Fig. 3. The differential yield ratio to 2.76 TeV is consistent for 7 and 8 TeV within systematic uncertainties. The systematic uncertainties at both collision energies are largely uncorrelated. Therefore, the sum of these in quadrature is taken as systematic uncertainty on the ratios. For both  $K^{*0}$  and  $\phi$ , the differential yield ratio is independent of  $p_T$  within systematic uncertainties up to about 1 GeV/c for the different collision energies. This suggests that the particle production mechanism in soft scattering regions is independent of collision energy over the measured energy range. An increase in the slope of the differential yield ratios is observed for  $p_T > 1-2$  GeV/c.

TABLE II. Parameters extracted from the Lévy-Tsallis fit to the  $K^{*0}$  and  $\phi$  transverse momentum spectra in inelastic  $pp$  collisions at  $\sqrt{s} = 7$  and 8 TeV.

Particles	$pp, \sqrt{s} = 8$ TeV		$pp, \sqrt{s} = 7$ TeV	
	$T$ (MeV)	$n$	$T$ (MeV)	$n$
$K^{*0}$	$260 \pm 5$	$6.65 \pm 0.03$	$261 \pm 6$	$6.92 \pm 0.15$
$\phi$	$306 \pm 6$	$7.28 \pm 0.03$	$299 \pm 5$	$7.17 \pm 0.04$

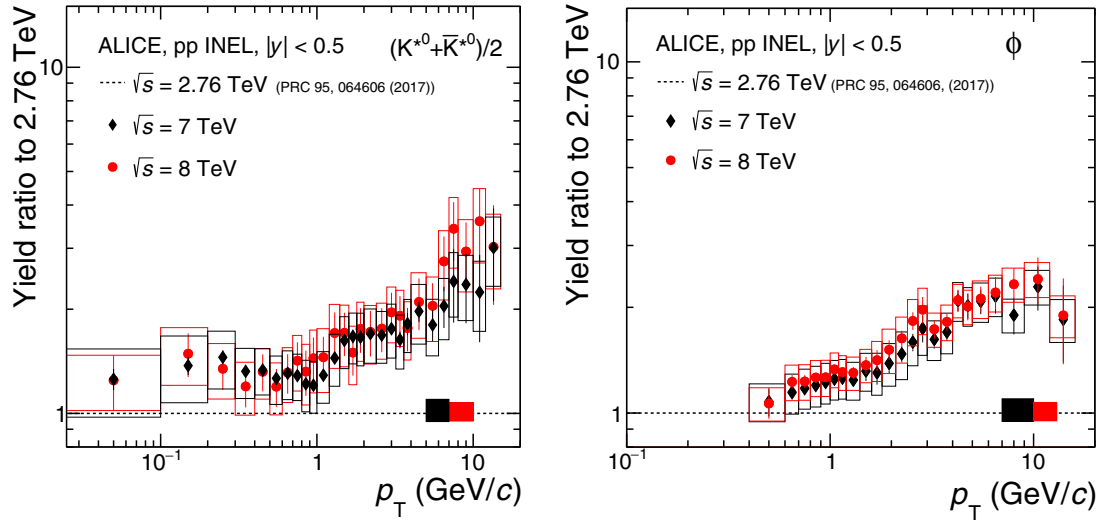


FIG. 3. Ratios of transverse-momentum spectra of  $K^{*0}$  and  $\phi$  in inelastic events at  $\sqrt{s} = 7$  and 8 TeV to the transverse-momentum spectra in  $pp$  collisions at  $\sqrt{s} = 2.76$  TeV. The statistical and systematic uncertainties are shown as vertical error bars and boxes, respectively. The normalization uncertainties are indicated by boxes around unity.

### B. $p_T$ -integrated yields

Table III shows the  $K^{*0}$  and  $\phi$  integrated yield ( $dN/dy$ ) and mean transverse momenta ( $\langle p_T \rangle$ ) in inelastic  $pp$  collisions at  $\sqrt{s} = 8$  TeV. As the  $\phi$  spectrum starts from 0.4 GeV/c, for the calculation of  $dN/dy$  and  $\langle p_T \rangle$ , the spectrum is extrapolated down to  $p_T = 0$  GeV/c using a Lévy-Tsallis fit [23,24]. The extrapolated part amounts to about 15% of the yield. Alternative fit functions (Boltzmann distribution, Bose-Einstein distribution,  $m_T$  exponential, and  $p_T$  exponential) have been tried for the extrapolation, giving a contribution of 1.5% to the total systematic uncertainty on  $dN/dy$ . In the case of  $K^{*0}$ , no extrapolation is needed as the distribution is measured for  $p_T > 0$  GeV/c. Table III also shows the  $dN/dy$  and  $\langle p_T \rangle$  of  $K^{*0}$  and  $\phi$  at  $\sqrt{s} = 7$  TeV.

### C. Particle ratios

For the calculation of the particle yield ratios, the values of  $dN/dy$  for  $\pi^+ + \pi^-$  and  $K^+ + K^-$  in  $pp$  collisions at  $\sqrt{s} = 8$  TeV are estimated via extrapolation by using the data

points available at different LHC collision energies [10–12] namely 0.9, 2.76, and 7 TeV. The data points are fit with the polynomial function  $A(\sqrt{s})^n + B$ .

Here  $A$ ,  $n$ , and  $B$  are the fit parameters. For the calculation of the uncertainties on the extrapolated value, the central values of the data points are shifted within their uncertainties and fit with the same function. The  $\pi^+ + \pi^-$  and  $K^+ + K^-$  energy extrapolated yields in inelastic  $pp$  collisions at  $\sqrt{s} = 8$  TeV are  $4.80 \pm 0.21$  and  $0.614 \pm 0.032$ . From here onwards,  $\pi^+ + \pi^-$  is denoted as  $\pi$  and  $K^+ + K^-$  is denoted as  $K$ .

Figure 4 shows the ratio of the  $dN/dy$  of  $K^{*0}$  ( $\phi$ ) to that of  $\pi$  in the left (right) panel, as a function of the collision energy.  $\pi$  has no strangeness content,  $K^{*0}$  has one unit of strangeness, and  $\phi$  is strangeness neutral but contains two strange valence (anti)quarks. It is observed that the  $K^{*0}/\pi$  and  $\phi/\pi$  ratios are independent of the collision energy within systematic uncertainties, which indicates that the chemistry of the system is independent of the energy from the RHIC to LHC energies. This also suggests that the strangeness

TABLE III.  $K^{*0}$  and  $\phi$  integrated yields and  $\langle p_T \rangle$  in inelastic  $pp$  collisions at  $\sqrt{s} = 7$  and 8 TeV. The systematic uncertainties include the contributions from the uncertainties listed in Table I and the choice of the spectrum fit function for extrapolation is also included for  $\phi$ . Here, “stat.” and “sys.” refer to statistical and systematic uncertainties, respectively. In addition,  $dN/dy$  has uncertainties due to normalization, which is  $+7.3_{-3.5}\%$  for 7 TeV and 2.69% for 8 TeV.

$pp, \sqrt{s} = 8$ TeV			
Particles	measured $p_T$ (GeV/c)	$dN/dy$	$\langle p_T \rangle$ (GeV/c)
$K^{*0}$	0.0–20.0	$0.101 \pm 0.001$ (stat.) $\pm 0.014$ (sys.)	$1.037 \pm 0.006$ (stat.) $\pm 0.029$ (sys.)
$\phi$	0.4–16.0	$0.0335 \pm 0.0003$ (stat.) $\pm 0.0030$ (sys.)	$1.146 \pm 0.005$ (stat.) $\pm 0.040$ (sys.)
$pp, \sqrt{s} = 7$ TeV			
Particles	measured $p_T$ (GeV/c)	$dN/dy$	$\langle p_T \rangle$ (GeV/c)
$K^{*0}$	0.0–20.0	$0.0970 \pm 0.0004$ (stat.) $\pm 0.0103$ (sys.)	$1.015 \pm 0.003$ (stat.) $\pm 0.030$ (sys.)
$\phi$	0.4–21.0	$0.0318 \pm 0.0003$ (stat.) $\pm 0.0032$ (sys.)	$1.132 \pm 0.005$ (stat.) $\pm 0.023$ (sys.)

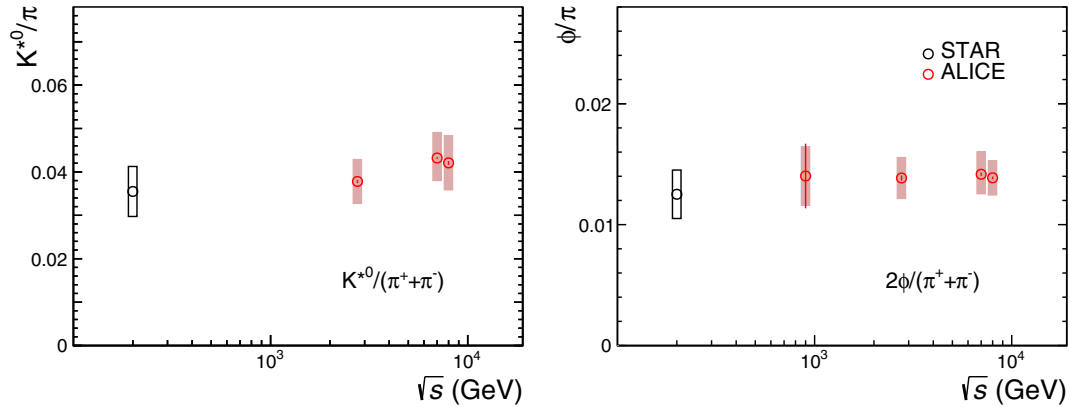


FIG. 4. Particle ratios of  $K^{*0}/\pi$  (left) and  $\phi/\pi$  (right) are presented for  $pp$  collisions as a function of collision energy. Bars (when present) represent statistical uncertainties. Boxes represent the total systematic uncertainties or the total uncertainties for cases when separate statistical uncertainties were not reported [10–13,26,28–32].

production mechanisms do not depend on energy in inelastic  $pp$  collisions at LHC energies. Figure 4 and Ref. [13] show that this flat behavior is observed from BNL Relativistic Heavy Ion Collider (RHIC) to LHC energies and the new result at  $\sqrt{s} = 8$  TeV is in agreement with previous findings. It is worth stressing that this flat behavior is not trivial: since particle yields do in fact increase with collision energy, the flat ratios are indicative of the fact that the percent increases of  $dN/dy$  for  $\pi$ ,  $K^{*0}$ , and  $\phi$  as a function of collision energy are similar from RHIC to LHC.

It is interesting to compare the particle ratios  $K^{*0}/K$  and  $\phi/K$  measured in inelastic  $pp$  collisions with different collision systems and collision energies in order to understand the production dynamics. In Fig. 5 the  $K^{*0}/K$  and  $\phi/K$  ratios are plotted as a function of center-of-mass energy per nucleon pair for different collision systems. The  $K^{*0}/K$  and  $\phi/K$  ratios are independent of the collision energy and of the colliding system. The only exception is the  $K^{*0}$  in central nucleus-nucleus collisions; we attribute the suppression of the  $K^{*0}/K$  ratio to final-state effects in the late hadronic stage [26]. The behavior of these ratios in  $pp$  collisions agrees with the

predictions [26,27] of a thermal model in the grand-canonical limit.

The  $\phi/K^{*0}$  ratio as a function of center-of-mass energy is plotted in Fig. 6. The ratio seems to be independent of collision energy and appears to follow a behavior expected from the thermal production, within experimental uncertainties.

#### D. Comparison to models

QCD-inspired MC event generators like PYTHIA 8 [7], PHOJET [8,9], and EPOS-LHC [6] are used to study multiparticle production, which is predominantly a soft, nonperturbative process. The measurements are compared with the MC model predictions. PYTHIA 8 and PHOJET use the Lund string fragmentation model [42] for the hadronization of light and heavy quarks. We compare our data with the Monash 2013 tune [7] for PYTHIA 8, which is an updated parameter set for the Lund hadronization compared with previous tunes. To describe the nonperturbative phenomena (soft and semihard processes), PYTHIA 8 includes multiple parton-parton interactions while PHOJET uses the dual parton model [43]. For hard scatterings,

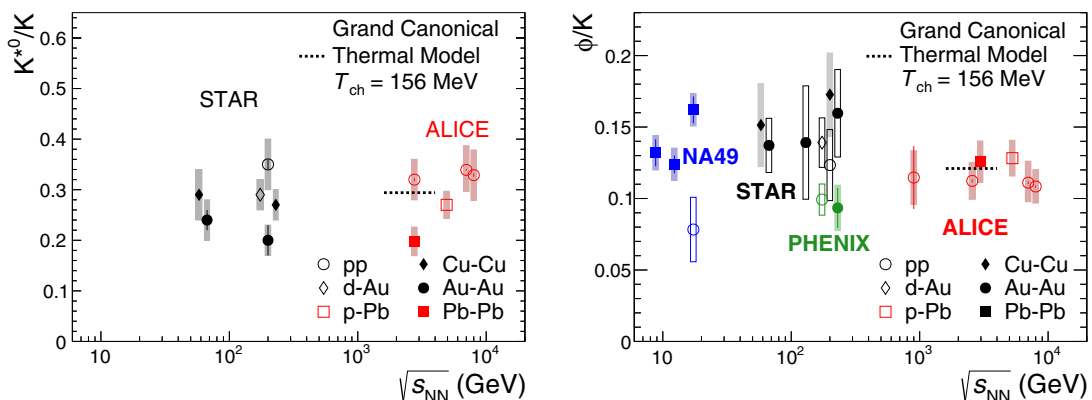


FIG. 5. Particle ratios of  $K^{*0}/K$  (left) and  $\phi/K$  (right) are presented for  $pp$ , high-multiplicity  $p$ -Pb, central  $d$ -Au, and central  $A$ - $A$  collisions [10–13,28–31,33–41] as a function of the collision energy. Bars (when present) represent statistical uncertainties. Boxes represent the total systematic uncertainties or the total uncertainties for cases when separate statistical uncertainties were not reported. The value given by a grand-canonical thermal model with a chemical freeze-out temperature of 156 MeV [27] is also shown.



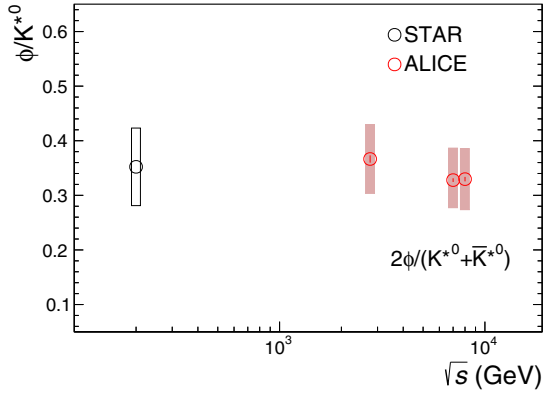


FIG. 6. Particle ratio  $\phi/K^{*0}$  presented for  $pp$  collisions [13,26,28,29] as a function of collision energy. Bars (when present) represent statistical uncertainties. Boxes represent the total systematic uncertainties or the total uncertainties for cases when separate statistical uncertainties were not reported.

particle production in both models is based on perturbative QCD and only considers two-particle scatterings. For multiple scatterings, the EPOS-LHC model invokes Gribov's Reggeon field theory [44], which features a collective hadronization via the core-corona mechanism [45]. The final-state partonic system consists of longitudinal flux tubes which fragment into string segments. The high energy density string segments form the so-called “core” region, which evolves hydrodynamically to form the bulk part of the system in the final state. The low-density region is known as the “corona,” which expands and breaks via the production of quark-antiquark pairs and hadronizes using vacuum string fragmentation. Recent data

from the LHC have been used already to tune the EPOS-LHC model [6].

Figure 7 shows a comparison of the  $K^{*0}$  (left) and  $\phi$  (right)  $p_T$  spectra in inelastic  $pp$  collisions with PYTHIA8, PHOJET, and EPOS-LHC. The bottom panels show the ratios of the  $p_T$  spectra from models to the  $p_T$  spectra measured by ALICE. The total fractional uncertainties from the real data, including both statistical and systematic uncertainties are shown as shaded boxes. PYTHIA 8 overestimates the  $p_T$  spectrum for  $K^{*0}$  at very low  $p_T$  but describes it in the intermediate- $p_T$  region and approaches the experimental data at high  $p_T$ . For the  $\phi$  meson, PYTHIA 8 underpredicts the yields from the experimental data by about a factor of two. PHOJET has a softer  $p_T$  spectrum for  $K^{*0}$  and it explains the data above  $p_T > 4$  GeV/c. For the  $\phi$  meson, PHOJET predicts the yields similarly to PYTHIA 8 at low  $p_T$ , while it approaches the experimental data at higher  $p_T$ . For the  $K^{*0}$ , EPOS-LHC describes the  $p_T$  spectra at low  $p_T$  and overestimates the data above 4 GeV/c. For the  $\phi$  meson, whereas PYTHIA and PHOJET fail to describe the  $p_T$  spectra, the EPOS-LHC model approaches the data at low  $p_T$  and deviates monotonically from them with increasing  $p_T$ .

## V. CONCLUSIONS

Measurements of  $K^{*0}$  and  $\phi$  production are presented at midrapidity in inelastic  $pp$  collisions at  $\sqrt{s} = 8$  TeV in the range  $0 < p_T < 20$  GeV/c for  $K^{*0}$  and  $0.4 < p_T < 16$  GeV/c for  $\phi$ . Also, updated measurements at  $\sqrt{s} = 7$  TeV are presented, which improve the results previously published in Ref. [13]. In comparison with other LHC energies, a hardening of the  $p_T$  spectra is observed with increasing collision energy. The  $K^{*0}/\pi$  and  $\phi/\pi$  ratios are independent of

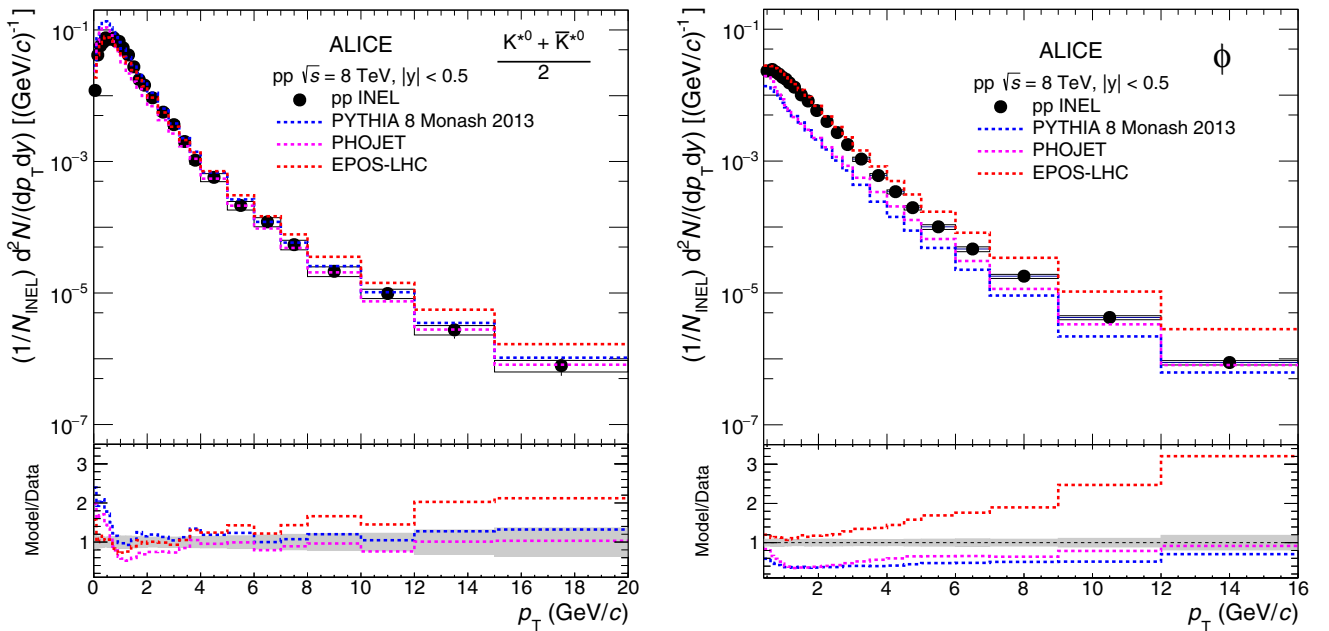


FIG. 7. Comparison of the  $K^{*0}$  (left) and  $\phi$  (right)  $p_T$  spectra measured in inelastic  $pp$  collisions with those obtained from PYTHIA8 (Monash tune) [7], PHOJET [8,9] and EPOS-LHC [6]. The bottom plots show the ratios of the  $p_T$  spectra from the models to the measured  $p_T$  spectra by ALICE. The total fractional uncertainties of the data are shown as shaded boxes.

collision energy within systematic uncertainties. This indicates that there is no strangeness enhancement in inelastic  $pp$  collisions as the collision energy is increased. Similar behavior is observed for the  $K^{*0}/K$  and  $\phi/K$  ratios as a function of collision energy. Also, no energy dependence of the  $\phi/K^{*0}$  ratio in minimum-bias  $pp$  collisions at LHC energies is observed, which suggests there is no energy dependence of the chemistry of the system. None of the MC models seem to explain the  $K^{*0}$  spectra over the full  $p_T$  range whereas PHOJET and PYTHIA describe the data for the intermediate and high- $p_T$  regions. However, the MC models fail to explain the  $p_T$  spectra of the  $\phi$  meson completely. These  $pp$  results will serve as baseline for the measurements in  $p$ -Pb and Pb-Pb collisions.

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