(2) Suppression in Pb-Pb Collisions at the LHC

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$\psi(2S)$ Suppression in Pb-Pb Collisions at the LHC

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The production of the $\psi(2S)$ charmonium state was measured with ALICE in Pb-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV, in the dimuon decay channel. A significant signal was observed for the first time at LHC energies down to zero transverse momentum, at forward rapidity ($2.5 < y < 4$). The measurement of the ratio of the inclusive production cross sections of the $\psi(2S)$ and J/ψ resonances is reported as a function of the centrality of the collisions and of transverse momentum, in the region $p_T < 12$ GeV/c. The results are compared with the corresponding measurements in pp collisions, by forming the double ratio $[\sigma^{\psi(2S)}/\sigma^{J/\psi}]_{\text{Pb-Pb}}/[\sigma^{\psi(2S)}/\sigma^{J/\psi}]_{pp}$. It is found that in Pb-Pb collisions the $\psi(2S)$ is suppressed by a factor of ~2 with respect to the J/ψ. The $\psi(2S)$ nuclear modification factor R_{AA} was also obtained as a function of both centrality and p_T. The results show that the $\psi(2S)$ resonance yield is strongly suppressed in Pb-Pb collisions, by a factor of up to ~3 with respect to pp. Comparisons of cross section ratios with previous Super Proton Synchrotron findings by the NA50 experiment and of R_{AA} with higher-p_T results at LHC energy are also reported. These results and the corresponding comparisons with calculations of transport and statistical models address questions on the presence and properties of charmonium states in the quark-gluon plasma formed in nuclear collisions at the LHC.

Quarkonia, the bound states of a heavy quark-antiquark pair, represent an important test bench for quantum chromodynamics (QCD), the theory of strong interactions [1]. The production process of the pair is governed by the hard scale corresponding to the quark mass and occurs on a very short time (~0.1 fm/c), while its binding is a soft process, characterized by timescales that can be an order of magnitude larger [2,3]. Static properties of quarkonia, and, in particular, their complex spectroscopy, can be reproduced by formulating QCD on a discrete lattice in space and time [4]. The quarkonium states can also be used as a probe of strongly interacting extended systems, and, in particular, of the quark-gluon plasma (QGP), a state of matter where quarks and gluons are deconfined over distances much larger than the hadronic size (~1 fm). In such a state, which can be created by collisions of heavy ions at ultrarelativistic energies, the large density of free color charges leads to a screening of the quark-antiquark binding and to the dissociation of quarkonia [5]. Effects related to a collisional damping of the states can also be present, leading to a loss of correlation in the pair and, consequently, to a modification of the spectral functions in

the QGP [6]. Finally, the QGP created in the collision expands and cools down until it crosses the pseudocritical temperature T_c (of about 157 MeV for a system with zero net baryonic number [7,8]) for the transition to a hadronic phase. Close to this transition, if the initial multiplicity of heavy quark pairs is large, recombination processes, which counterbalance to a certain extent the suppression in the QGP, may become sizable [9,10]; i.e., quarks and antiquarks close in phase space can recombine to form a quarkonium state. These processes may already be effective even in the QGP phase [11].

A further important element in the study of quarkonium production in heavy-ion collisions is their rich variety of states. Restricting the discussion to charmonia, bound states of charm-anticharm quarks, the ground-level J/ψ vector meson and its radial excitation $\psi(2S)$ differ in binding energy by more than a factor of 10 (~640 versus ~50 MeV, respectively) and by about a factor of 2 in size [12,13]. As a consequence, the dissociation of the charmonium states depends on the temperature of the medium and is expected to occur sequentially, reflecting the increasing values of their binding energies [14]. Also, the recombination processes might, in principle, have different features, with the larger-size charmonium states being produced later in the evolution of the system [15]. Current theoretical approaches for the complex phenomenology of charmonium production in nuclear collisions include transport models [16,17], where dissociation and recombination rates for quarkonium states in the QGP are

calculated taking into account a lattice-QCD-inspired evaluation of the dependence of their spectral properties on the evolving thermodynamic properties of the medium. In the statistical hadronization model (SHMc) [18], charmonia are assumed to be formed at hadronization according to statistical weights and introducing a charm fugacity factor related to charm conservation and determined by the charm production cross section (in the frame of SHMc, it can be more appropriate to use the word "combination" rather than "recombination," as there is no binding of charmonium states in the QGP phase). The availability of accurate experimental results for various charmonium states represents a crucial input for the evaluation of the theory approaches and ultimately for the understanding of the existence of bound states of heavy quarks in the QGP.

On the experimental side, a suppression of the J/ψ in Pb-Pb collisions was observed by the NA50 experiment at the CERN Super Proton Synchrotron (SPS) [19] (center of mass energy per nucleon-nucleon collision $\sqrt{s_{NN}} = 17.3$ GeV) and subsequently confirmed at the Relativistic Heavy Ion Collider by PHENIX [20] and STAR [21] (Au-Au at $\sqrt{s_{NN}} = 200$ GeV). At the LHC (Pb-Pb at $\sqrt{s_{NN}} = 2.76$ and 5.02 TeV), the ALICE Collaboration has unambiguously demonstrated the existence and role of the recombination processes, by observing at low transverse momentum (p_T), and in central collisions, a smaller suppression compared to lower-energy results [22]. At high p_T, CMS and ATLAS results indicate a strong J/ψ suppression [23,24], reaching a value of ~ 4 for central collisions, where the geometric overlap of the colliding nuclei is maximal. The suppression in Pb-Pb collisions is quantified via the nuclear modification factor R_{AA}, defined as the ratio between the J/ψ yield in Pb-Pb and the product of the corresponding J/ψ cross section in pp collisions times the average nuclear thickness function $\langle T_{AA} \rangle$ [25], a quantity proportional to the number of nucleon-nucleon collisions.

The $\psi(2S)$ measurements are more challenging, due to the ~ 7.5 lower branching ratio to muon pairs with respect to the J/ψ and the ~ 6 times smaller production cross section in pp collisions at LHC energy [26]. The most accurate result until today was obtained by NA50, which measured a decrease of the cross section ratio between $\psi(2S)$ and J/ψ by a factor of ~ 2, in Pb-Pb collisions at $\sqrt{s_{NN}} = 17.3$ GeV [27], when increasing the collision centrality. Both transport [11,28] and statistical hadronization models [29] were able to reproduce the main features of this result (see, e.g., Fig. 37 in Ref. [30]). More recently, $\psi(2S)$ production was studied by ATLAS [23] and CMS [24,31], measuring the double ratio between the $\psi(2S)$ and J/ψ cross sections in Pb-Pb and pp collisions at $\sqrt{s_{NN}} = 5.02$ TeV. Their analyses, carried out at midrapidity, show in the high-p_T region a strong relative suppression of the $\psi(2S)$ with respect to J/ψ, by a factor of ~ 2. For a complete characterization of $\psi(2S)$ production

at these energies, an extension of these results toward low p_T, the kinematic region where recombination effects are maximal, is needed. To date, the previous result by ALICE [32] at $\sqrt{s_{NN}} = 2.76$ TeV does not allow a firm conclusion, due to the large uncertainties.

In this Letter, we present results on inclusive $\psi(2S)$ production, obtained by ALICE in Pb-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV. The $\psi(2S)$ is studied by means of its decay to muon pairs in the region $2.5 < y < 4$ and down to zero p_T. Measurements of the (double) ratio of production cross sections between the $\psi(2S)$ and J/ψ, as well as of the $\psi(2S)R_{AA}$, are given. The ALICE detector is described extensively in Refs. [33,34]. In particular, muon detection is carried out by a forward spectrometer consisting of a 3 Tm dipole magnet, a system of two hadron absorbers, and five tracking (cathode pad chambers) and two triggering (resistive plate chambers) stations. The minimum-bias (MB) trigger is obtained as a coincidence of signals from the two V0 scintillator arrays ($-3.7 < \eta < -1.7$ and $2.8 < \eta < 5.1$), also used for the rejection of beam-gas interactions and for the determination of the centrality of the collisions (see below).

The data analyzed in this Letter were collected in 2015 and 2018 and correspond to an integrated luminosity $L_{int} \sim 750$ μb^{-1}. The collisions were classified from central to peripheral according to the decreasing energy deposition in the V0 detectors, which can be related to the degree of geometric overlap of the colliding nuclei [25,35]. Events were recorded using a dimuon trigger, given by the coincidence of a MB trigger together with the detection of a pair of particles with opposite charges in the triggering system of the muon spectrometer. The trigger algorithm applies a nonsharp p_T threshold, which has 50% efficiency at 1 GeV/c and becomes fully efficient ($> 98\%$) for $p_T > 2$ GeV/c. Selection criteria were applied at the single muon and muon pair levels (see Ref. [36] for details). Opposite-sign dimuons were selected in the rapidity interval $2.5 < y < 4$.

The signal extraction procedure for the $\psi(2S)$ and J/ψ was based on χ^2 minimization fits of the opposite-sign dimuon invariant-mass spectra. The combinatorial background was subtracted with the help of an event mixing procedure, described in detail in Ref. [32]. The resonance signals were described by a double-sided Crystal Ball function or a pseudo-Gaussian with a mass-dependent width [37]. The position of the pole mass of the J/ψ, as well as its width, were kept as free parameters in the fitting procedure. For the $\psi(2S)$, due to the much smaller statistical significance of its signal, its mass was bound to that of the J/ψ, via the mass difference of the two resonances as provided by the Particle Data Group [38], $m_{\psi(2S)} = m_{J/\psi}^{FIT} + (m_{\psi(2S)}^{PDG} - m_{J/\psi}^{PDG})$. The width was also bound to that of the J/ψ, imposing the same ratio of the J/ψ and $\psi(2S)$ widths as the one measured in the data sample of pp collisions at $\sqrt{s} = 13$ TeV [39] or in

Monte Carlo (MC) simulations (see details below). The same data sample, or the MC, was also used to fix the non-Gaussian tails of the resonance mass spectra. The continuum component of the correlated background remaining in the dimuon distributions after mixed-event subtraction and originating mainly from semimuonic decays of pairs of heavy-flavor hadrons was parametrized using various empirical functions. Fits were performed in two invariant mass intervals, $2 < m_{\mu\mu} < 5$ GeV/c^2 and $2.2 < m_{\mu\mu} < 4.5$ GeV/c^2, roughly centered in the resonance region. The resonance signals were extracted in four p_T classes for the centrality range 0%–90% and in four centrality classes. For the latter series, the selections $p_T < 12$ GeV/c and $0.3 < p_T < 12$ GeV/c were used for the two most central and peripheral classes, respectively, to remove the low-p_T contribution from photoproduction [40] which becomes important for peripheral collisions. For each kinematic and/or centrality selection, the numbers of detected $\psi(2S)$ and J/ψ were obtained by averaging the results of the various fits. For the complete data sample (0%–90%), they amount to 1.3×10^4 and 9.2×10^5, respectively. All the invariant mass spectra and the results of the corresponding fits are reported as Supplemental Material [41].

The product of acceptance times efficiency ($A \times \varepsilon$) has been calculated by means of a MC simulation. The p_T and y distributions for the generated J/ψ were matched to those extracted from data using an iterative procedure as done in Ref. [42], and the same distributions were also used for the $\psi(2S)$. The misalignment of the detection elements as well as the time-dependent status of each electronic channel during the data-taking period were taken into account in the simulation. The resonance signals were embedded into real events in order to properly reproduce the effect of detector occupancy and its variation from one centrality class to another and then reconstructed. The centrality and p_T-integrated $A \times \varepsilon$ values, relative to the $2.5 < y < 4$ interval, are 13.5% and 17.3% for J/ψ and $\psi(2S)$, respectively. As a function of p_T and centrality, the $A \times \varepsilon$ vary within a factor of ~2 and by about 5%, respectively.

The evaluation of the double ratios and the nuclear modification factors requires the measurement of the charmonium cross sections in pp collisions at $\sqrt{s} = 5.02$ TeV. Results from Ref. [43] were used for this purpose, by appropriately combining, where necessary, the $\psi(2S)$ cross sections and the ratios $\sigma_{\psi(2S)}/\sigma_{J/\psi}$, in order to match the p_T binning used in this analysis.

The normalization of the yields per event in Pb-Pb collisions is obtained calculating the number of "equivalent" minimum-bias events as the product of the number of dimuon-triggered events (~4×10^8) times the inverse of the probability of having a dimuon trigger in a MB event (F), following the procedure described, e.g., in Ref. [44]. For the 0%–90% centrality class, the value of the normalization factor is $F = 13.1 \pm 0.1$. Finally, the $\langle T_{AA} \rangle$ values were

TABLE I. Contributions to the systematic uncertainties (in percentage). In each column, values with an asterisk correspond to the systematic uncertainties correlated as a function of the corresponding variable. The pp reference entry includes a 1.8% luminosity uncertainty.

	Versus centrality	Versus p_T
	$\psi(2S)R_{AA}$	
Signal extraction	16–22	12–25
Tracking effic.	3*	3
Trigger effic.	1.6*	1.5–2
Matching effic.	1*	1
MC input	2*	2
F	0.7*	0.7*
$\langle T_{AA} \rangle$	0.7–2.3	1*
Centrality	0–7	0.3*
pp ref.	4.7*	7.9–11.1
	(Double) ratios	
Signal extraction	16–23	12–24
pp ref.	6.7*	5.5–8.8

taken from Ref. [25] for the centrality intervals directly quoted there or by combining their values for the other intervals.

A summary of the systematic uncertainties affecting the calculation of the (double) ratios and $\psi(2S)R_{AA}$ is given in Table I. They were obtained following similar procedures as those adopted for previous charmonium analyses at forward rapidity, that are detailed, e.g., in Refs. [45,46]. For the signal extraction, the systematic uncertainty was calculated as the root mean square of the values of the number of $\psi(2S)$ obtained by combining different fitting ranges, signal, and residual background shapes. In addition, two different normalization ranges of the mixed-event spectra were tested. Fits with a $\chi^2/$n.d.f. > 2.5 were excluded from the calculation. For the ingredients entering the $A \times \varepsilon$ calculation, the systematic uncertainties related to tracking, triggering, and matching of candidate tracks between tracking and triggering detectors were obtained by comparing information obtained in MC and in data, as described in Ref. [46]. The uncertainty due to the generated y and p_T resonance shapes in the MC was estimated as in Ref. [42], taking into account the statistical uncertainty on the measured distributions used for their definition in the iterative procedure and the possible correlations between the distributions in y and p_T. The uncertainty on F was obtained by comparing the results of two different evaluations as discussed in Ref. [44], while for $\langle T_{AA} \rangle$ they were computed by varying the input parameters of the Glauber calculation used for their estimate [25]. For the centrality evaluation, the systematic uncertainty was obtained varying by $\pm 1\%$ the value of the V0 signal amplitude corresponding to the most central 90% of the hadronic Pb-Pb cross section and reextracting the resonance signal under this

hypothesis, as detailed in Ref. [45]. For the pp reference, uncertainties were obtained by combining the corresponding values from the narrower p_T intervals reported in Ref. [43]. A further uncertainty related to the evaluation of the pp luminosity is also considered in the R_{AA} evaluation [43]. All the uncertainties discussed in this paragraph are added in quadrature to obtain the total systematic uncertainty.

When the $\psi(2S)$ to J/ψ cross section ratios are computed, all uncertainties cancel out except the one related to the signal extraction which is dominated by the former resonance. For the double ratios, the uncertainties on the pp cross section ratio between $\psi(2S)$ and J/ψ were also obtained starting from Ref. [43]. All the results shown in this Letter and the corresponding model calculations refer to inclusive quarkonium production, which includes non-prompt quarkonia, originating from the decay of b hadrons.

In Fig. 1, the ratio of $\psi(2S)$ and J/ψ cross sections (not corrected for the branching ratios of the dimuon decay) is shown as a function of centrality, expressed as the average number of participant nucleons $\langle N_{part} \rangle$. In the lower panel, the values of the double ratio can be read, showing a $\psi(2S)$ suppression, with respect to J/ψ, by a factor of about 2 from pp to Pb-Pb. No significant centrality dependence of

the results is seen, within the uncertainties. Comparison with calculations of a transport approach (TAMU) [15] and of the SHMc model [18,47] are also shown. The TAMU model reproduces the cross section ratios over centrality, while the SHMc model tends to underestimate the data in central Pb-Pb collisions. The ALICE results are also compared with the corresponding inclusive (double) ratios obtained by NA50 in $0 < y < 1$ [27], which reach smaller values for central events.

In Fig. 2, the nuclear modification factors for $\psi(2S)$ (this analysis) and J/ψ (from Ref. [45]) are compared, as a function of $\langle N_{part} \rangle$. With the limited number of centrality intervals that could be defined, the R_{AA} values for the $\psi(2S)$ do not show a clear trend and are generally consistent with an R_{AA} value of about 0.4. In Fig. 2, calculations with the TAMU model are also shown, indicating a good agreement with the measured R_{AA} for both J/ψ and $\psi(2S)$. The SHMc model reproduces, within uncertainties, the $J/\psi R_{AA}$ centrality dependence, while it underestimates the $\psi(2S)$ production in central and semi-central collisions.

Figure 3 shows the $\psi(2S) R_{AA}$, compared with the corresponding result for the J/ψ [46], as a function of p_T. The corresponding CMS measurements [48] in the region $|y| < 1.6$ and $6.5 < p_T < 30$ GeV/c are also reported. The main feature is an increase of the nuclear modification factor at low p_T, similar to what was observed for the J/ψ and understood as a direct consequence of the recombination process of charm and anticharm quarks. The strong suppression of the $\psi(2S)$ ($R_{AA} \sim 0.15$ at $p_T = 10$ GeV/c) persists up to $p_T = 30$ GeV/c as shown by the CMS data, that agree within uncertainties with those of ALICE in the common p_T range, in spite of the different rapidity coverage. A comparison with predictions from the TAMU model [15] is shown, indicating that also the p_T
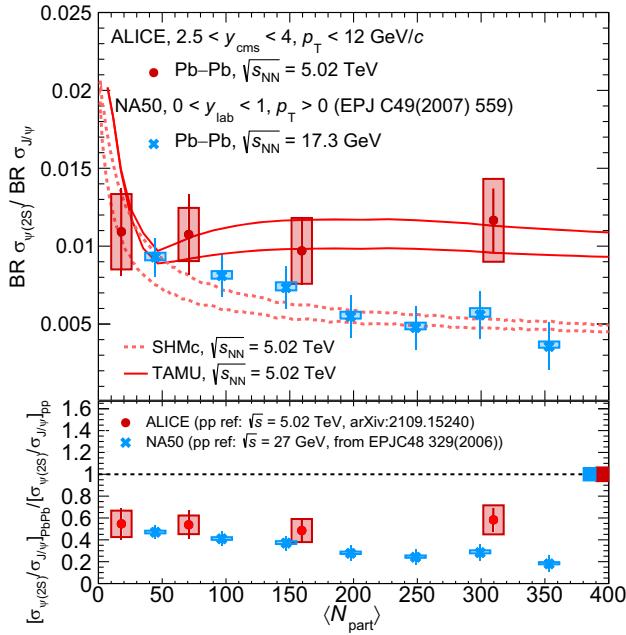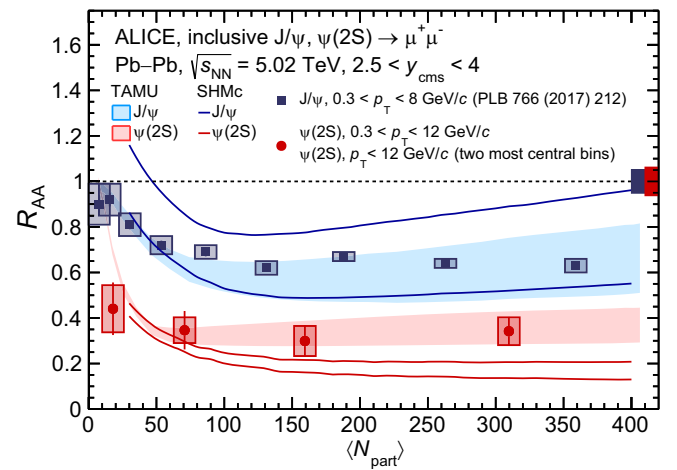

FIG. 1. Ratio of the $\psi(2S)$ and J/ψ inclusive cross sections, not corrected for the corresponding branching ratios (BR) of the dimuon decay, as a function of $\langle N_{part} \rangle$. The vertical error bars and the filled boxes represent statistical and systematic uncertainties, respectively. Data are compared to predictions of the TAMU [15] and SHMc [18,47] models, the corresponding lines showing their uncertainty band, and to results of the SPS NA50 experiment [27]. In the lower panel, the ratios are normalized to the corresponding pp value (double ratio). The filled boxes around the line at unity indicate the global systematic uncertainties.

FIG. 2. The R_{AA} for the $\psi(2S)$ (this analysis) and J/ψ [45] as a function of $\langle N_{part} \rangle$. Comparisons with models are also shown, the lines (band) showing the theory uncertainty for the SHMc (transport) calculations.
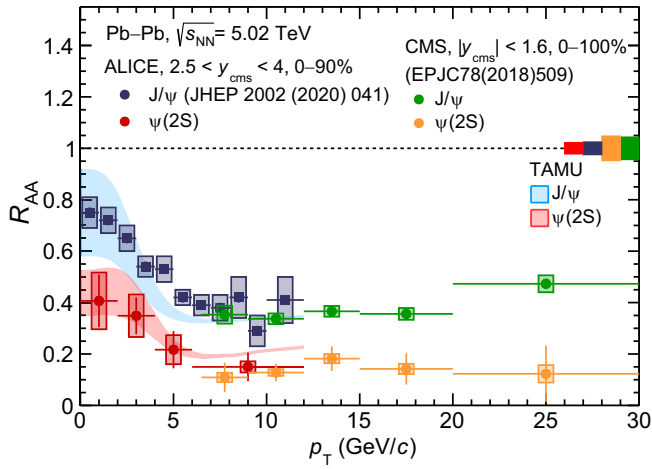
FIG. 3. The R_{AA} for $\psi(2S)$ and J/ψ [45] as a function of p_T. Comparison with theory models and results from the CMS experiment [31] are also shown.

dependence of the R_{AA} is well reproduced for both J/ψ and $\psi(2S)$, as was the case for the centrality dependence. For completeness, we include in Supplemental Material [41] a plot with the (double) ratio of the $\psi(2S)$ cross sections as a function of p_T.

The contribution of nonprompt quarkonia, originating from the decay of b hadrons, could be estimated knowing the fraction F_B of nonprompt charmonia in pp collisions and their R_{AA} in Pb-Pb collisions. An approximate estimate can be carried out using F_B values from LHCb [49,50] and the R_{AA} of nonprompt D mesons measured by ALICE [51] and used as a proxy for nonprompt quarkonia. It shows that prompt J/ψ and $\psi(2S)R_{AA}$ would be smaller by less than 1σ with respect to their inclusive values. However, it should be noted that the input quantities for this evaluation do not precisely correspond to the same kinematic or collision energy region of our data.

The picture emerging from these results shows a clear hierarchy of suppression of J/ψ and $\psi(2S)$ over the whole p_T and centrality intervals explored. Apart from the overall larger $\psi(2S)$ suppression, visible in the double ratios and in the comparison of the R_{AA}, no significant difference in the p_T and centrality dependence of the suppression effects between the two states can be seen. The comparison with SPS results shows that the relative suppression of $\psi(2S)$ with respect to J/ψ in central Pb-Pb events tends to be stronger at low collision energy compared to LHC. However, part of this effect could be due to the different size of the nonprompt component, almost negligible at low energy. When comparing the results with the predictions of statistical and transport models, a significantly better agreement is obtained with the latter, in particular, for central events, as visible in Figs. 1 and 2. We underline that the data-model comparisons are consistently done for inclusive production. The results shown in this Letter favor the scenario where bound states are dissociated or recombined in the QGP phase, according to the modification of their spectral properties expected from lattice QCD.

In summary, we have provided first accurate results on $\psi(2S)$ production in Pb-Pb collisions at LHC energy down to zero p_T, in the rapidity region $2.5 < y < 4$. Measurements of the cross section ratios between $\psi(2S)$ and J/ψ, of the double ratio between Pb-Pb and pp collisions, and of the nuclear modification factors were shown. A relative suppression by a factor of ~ 2 of the $\psi(2S)$ with respect to the J/ψ is observed, with no significant centrality dependence within the uncertainties. The R_{AA} values for the $\psi(2S)$ show a hint for a decrease as a function of p_T, reminiscent of the same effect observed for the J/ψ and connected with charm quark recombination processes. As a function of centrality, values around $R_{AA} \sim 0.4$ are observed. The theory comparisons show a good agreement with the predictions of the transport model, that include recombination of charm quarks in the QGP phase, while the SHMc model tends to underestimate the data in central Pb-Pb collisions.

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