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Higgs masses in the minimal supersymmetric $SO(10)$ grand unified theory

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We explicitly show that minimal supersymmetric $SO(10)$ Higgs-Higgsino mass matrices evaluated by various groups are mutually consistent and correct. We comment on the corresponding results of other authors. We construct one-to-one mappings of our approach to the approaches of other authors.

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I. INTRODUCTION

There is a large interest in the minimal supersymmetric $SO(10)$ grand unified theory (GUT) $[1-4]$ concerning neutrino masses [2–4], lepton-flavor violation processes [5], and proton decay [6,7]. To study the proton decay lifetime it is important to know Higgs-Higgsino masses which were analyzed in Refs. $[6-11]$. However, there are apparently different results for corresponding mass matrices [6–11] for $SO(10) \rightarrow G_{321}$ symmetry breaking with unbroken supersymmetry $[G_{321} \equiv SU(3)_c \times SU(2)_L \times$ $U(1)_Y$ is the standard model gauge group].

In order to prove that the mass matrices in Ref. [6] are correct, we present a set of universal consistency checks that the mass matrices must satisfy in our approach. These are the trace of the total Higgs mass matrix, the hermiticity condition of the matrix of Clebsch-Gordan coefficients in each mass matrix and the higher symmetry group checks containing the standard model gauge group G_{321} . Further, finding explicit one-to-one correspondences between the results of Refs. $[6-12]$, we prove the consistencies between the approaches considered.

II. SHORT SUMMARY OF THE MINIMAL SUSY *SO***10**- **GUT**

The Yukawa sector of the minimal SUSY $SO(10)$ GUT has couplings of each generation of the matter multiplet with only the **10** and **126** Higgs multiplets. The Higgs sector contains $10 \equiv H$, $\overline{126} \equiv \overline{\Delta}$, $126 \equiv \Delta$, and $210 \equiv$ Φ multiplets. The last two multiplets are necessary to achieve the correct $SO(10) \rightarrow G_{321}$ breaking. The Higgs superpotential reads [6]

$$
W = m_1 \Phi^2 + m_2 \Delta \overline{\Delta} + m_3 H^2 + \lambda_1 \Phi^3 + \lambda_2 \Phi \Delta \overline{\Delta}
$$

+ $\lambda_3 \Phi \Delta H + \lambda_4 \Phi \overline{\Delta} H.$ (1)

We are interested in symmetry breaking $SO(10) \rightarrow G_{321}$. The *G*³²¹ invariant vacuum expectation values (VEVs) are

$$
\langle \Phi \rangle = \sum_{i=1}^{3} \phi_i \hat{\phi}_i; \qquad \langle \Delta \rangle = v_R \widehat{v_R}; \qquad \langle \overline{\Delta} \rangle = \overline{v_R} \widehat{\overline{v_R}}; \beta
$$
\n(2)

where ϕ_i , *i* = 1, 2, 3, v_R , and $\overline{v_R}$ are complex VEV variables and $\hat{\phi}_i$, $i = 1, 2, 3, \hat{\nu}_R$, and $\hat{\overline{\nu}_R}$ are unit G_{321} invariant vectors, satisfying $\hat{\phi}_i \hat{\phi}_j = \delta_{ij}, \hat{\nu}_R \overbrace{\hat{v}_R} = 1, \hat{\nu}_R^2 = \overbrace{\hat{v}_R}^2 =$ 0, described in *Y* diagonal basis [6,8] as

$$
\widehat{\nu_R} = \frac{1}{\sqrt{120}} (24\,680), \qquad \widehat{\overline{\nu_R}} = \frac{1}{\sqrt{120}} (13\,579),
$$

\n
$$
\widehat{\phi}_1 = -\frac{1}{\sqrt{24}} (1234),
$$

\n
$$
\widehat{\phi}_2 = -\frac{1}{\sqrt{72}} (5678 + 5690 + 7890),
$$

\n
$$
\widehat{\phi}_3 = -\frac{1}{12} ([12 + 34][56 + 78 + 90]).
$$
\n(3)

Inserting the VEVs (2) into the superpotential (1), one obtains

$$
\langle W \rangle = m_1 [\phi_1^2 + \phi_2^2 + \phi_3^2] + m_2 v_R \overline{v_R}
$$

+ $\lambda_1 [\phi_2^3 \frac{1}{9\sqrt{2}} + 3\phi_1 \phi_3^2 \frac{1}{6\sqrt{6}} + 3\phi_2 \phi_3^2 \frac{1}{9\sqrt{2}}]$
+ $\lambda_2 [\phi_1 \frac{1}{10\sqrt{6}} + \phi_2 \frac{1}{10\sqrt{2}} + \phi_3 \frac{1}{10}] v_R \overline{v_R}$, (4)

which determines the VEV equations,

$$
0 = 2m_1\phi_1 + \frac{\lambda_1\phi_3^2}{2\sqrt{6}} + \frac{\lambda_2 v_R \overline{v_R}}{10\sqrt{6}},
$$

\n
$$
0 = 2m_1\phi_2 + \frac{\lambda_1\phi_2^2}{3\sqrt{2}} + \frac{\lambda_1\phi_3^2}{3\sqrt{2}} + \frac{\lambda_2 v_R \overline{v_R}}{10\sqrt{2}},
$$

\n
$$
0 = 2m_1\phi_3 + \frac{\lambda_1\phi_1\phi_3}{\sqrt{6}} + \frac{\sqrt{2}\lambda_1\phi_2\phi_3}{3} + \frac{\lambda_2 v_R \overline{v_R}}{10},
$$

\n
$$
0 = v_R \overline{v_R} \bigg[m_2 + \frac{\lambda_2\phi_1}{10\sqrt{6}} + \frac{\lambda_2\phi_2}{10\sqrt{2}} + \frac{\lambda_2\phi_3}{10} \bigg].
$$

\n(5)

In the following we will assume that $|v_R| = |\overline{v_R}|$ [6,9]. For $v_R = 0$ the solutions of the VEV Eqs. (5) are vacuum minima with $SU(5) \times U(1)$, $SU(5) \times U(1)$ ^{flipped}, *G*³²²¹ and *G*³²¹¹ symmetry.

For $v_R \neq 0$ the VEV Eqs. (5) lead to the fourth-order equation in ϕ_1 (or ϕ_2 or ϕ_3). One of the solutions of that

equation corresponds to the $SU(5)$ symmetry, while the remaining three have G_{321} symmetry [6].

The $SU(5)$ solution is given by

$$
\phi_1 = -\frac{\sqrt{6}m_2}{\lambda_2}, \qquad \phi_2 = -\frac{3\sqrt{2}m_2}{\lambda_2},
$$

$$
\phi_3 = -\frac{6m_2}{\lambda_2}, \qquad \nu_R \overline{\nu_R} = \frac{60m_2^2}{\lambda_2^2} \left[2\left(\frac{m_1}{m_2}\right) - 3\left(\frac{\lambda_1}{\lambda_2}\right) \right].
$$
 (6)

III. HIGGS MASS MATRICES

The mass matrices are defined as

$$
\mathcal{M}_{ij} = \frac{\partial^2 W}{\partial \varphi_i \partial \overline{\varphi}_j} \bigg|_{\text{VEV}},\tag{7}
$$

where φ_i represents any G_{321} multiplet. We point out that the physical masses squared are eigenvalues of $\mathcal{M}^{\dagger} \mathcal{M}$ and $\mathcal{M} \mathcal{M}^{\dagger}$ matrices.

The G_{321} mass matrices [6,8] that we use here are given in Ref. [6] [see formulas (4.1)–(4.5), (5.3), (6.4) and Tables I and II]. Phenomenologically the most interesting doublet and triplet mass matrices are given by equations (5.3) and (6.4) in Ref. [6], respectively.

IV. CONSISTENCY CHECKS

In Ref. [8] a detailed explanation of a method for calculation of the above matrices is given, and all possible consistency checks are briefly explained.

There are three main consistency checks.

The first is that the trace of the total Higgs mass matrix does not depend on the coupling constants λ_i , $i = 1, 2, 3, 4$. It depends only on mass parameters m_i , $i = 1, 2, 3$ and the dimensions of the corresponding $SO(10)$ representations. The sum rule for the Higgs-Higgsino mass matrices is

$$
Tr \mathcal{M} = 2m_1 \times 210 + m_2 \times 252 + 2m_3 \times 10. \tag{8}
$$

The second is that the Clebsch-Gordan coefficients in all mass matrices satisfy hermiticity property.

The mass sum rule and the hermiticity property for the mass matrices are easily verified for the results for the mass matrices given in Ref. [6].

The third and main check is the $SU(5)$ check briefly described in the paper [8]. Here we explicitly prove that mass matrices in Ref. [6] satisfy this highly nontrivial test.

Let us insert the SU(5) solution (6) into mass matrices in Ref. [6] for general mass parameters m_i , $i = 1, 2, 3$ and coupling constants λ_i , $i = 1, 2, 3, 4$. Note that **10**, **126**, **126**, and 210 decompose under the $SU(5)$ symmetry as

$$
10 = 5 + \overline{5}, \qquad 126 = 1 + \overline{5} + 10 + \overline{15} + 45 + \overline{50},
$$

\n
$$
\overline{126} = 1 + 5 + \overline{10} + 15 + \overline{45} + 50,
$$

\n
$$
210 = 1 + 5 + \overline{5} + 10 + \overline{10} + 24 + 40 + \overline{40} + 75.
$$

\n(9)

In total, there are three singlets, three $(5 + \overline{5})$, two $(10 +$ **10**), one (**15** $+$ **15**), one **24**, one (**40** $+$ **40**), one (**45** $+$ **45**), one $(50 + \overline{50})$, and one 75. All together there are 14 *SU*(5) representations which can form mass terms.

The corresponding mass-matrix eigenvalues are $m_1^G(1) = m_1^G(10) = 0$, corresponding to 21 would-be Goldstone modes, $m_{2,3}(1)$, $m_{1,2,3}(5)$, $m_2(10)$, $m_{\Delta}(15)$, $m_{\phi}(24)$, $m_{\phi}(40)$, $m_{\Delta}(45)$, $m_{\Delta}(50)$, and $m_{\phi}(75)$. Therefore, the $SU(5)$ decomposition of 10, $\overline{126}$, 126, and **210** implies there are at most 13 different mass-matrix eigenvalues.

We found the $SU(5)$ mass-matrix eigenvalues analytically. These eigenvalues are obtained diagonalizing the 26 mass matrices corresponding to the 26 G_{321} multiplets contained in **10**, **126**, **126**, and **210**. The results are given in Table I. In Table I the mass-matrix eigenvalues read

G_{321}	$SU(5)$ mass-matrix eigenvalues	G_{321}	$SU(5)$ mass-matrix eigenvalues
$(3, 2, -\frac{5}{6})$	$m_{\phi}(24), m_{\phi}(75)$	$(3, 2, \frac{7}{6})$	$m_{\Delta}(45)$, $m_{\Delta}(50)$
$(3, 2, \frac{1}{6})$	$m_1^G(10), m_2(10), m_\phi(40), m_\Delta(15)$	$(3, 1, \frac{4}{3})$	$m_{\Delta}(45)$
$(3, 1, \frac{2}{3})$	$m_1^G(10), m_2(10), m_\phi(40)$	(1, 3, 1)	$m_{\Delta}(15)$
(1, 1, 1)	$m_1^G(10), m_2(10)$	(1, 1, 2)	$m_{\Delta}(50)$
(1, 1, 0)	$m_1^G(1), m_2(1), m_3(1), m_\phi(24), m_\phi(75)$	(8, 3, 0)	$m_{\phi}(75)$
$(1, 2, \frac{1}{2})_D$	$m_{\Delta}(45), m_{1}(5), m_{2}(5), m_{3}(5)$	(8, 1, 1)	$m_{\phi}(40)$
$(3, 1, -\frac{1}{3})_T$	$m_{\Delta}(50), m_{\Delta}(45), m_{1}(5), m_{2}(5), m_{3}(5)$	(8, 1, 0)	$m_{\phi}(24), m_{\phi}(75)$
$(8, 2, \frac{1}{2})$	$m_{\Delta}(45)$, $m_{\Delta}(50)$	$(6, 2, \frac{5}{6})$	$m_{\phi}(75)$
$(6, 3, \frac{1}{3})$	$m_{\Delta}(50)$	$(6, 2, \frac{1}{6})$	$m_{\phi}(40)$
$(6, 1, \frac{4}{3})$	$m_{\Delta}(50)$	$(\overline{3},3,\frac{2}{3})$	m_{ϕ} (40)
$({\bf \overline{6}},{\bf 1},\frac{2}{3})$	$m_{\Delta}(15)$	$(3, 1, \frac{5}{3})$	$m_{\phi}(75)$
$(6, 1, \frac{1}{3})$	$m_{\Delta}(45)$	(1, 3, 0)	m_{ϕ} (24)
$(\overline{3},3,\frac{1}{3})$	$m_{\Delta}(45)$	$(1, 2, \frac{3}{2})$	m_{ϕ} (40)

TABLE I. $SU(5)$ mass-matrix eigenvalues obtained from G_{321} mass matrices.

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$$
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$$

$$
m_{\Delta}(50) = \frac{3}{5}m_2, \qquad m_{\Delta}(45) = m_2, \qquad m_{\Delta}(15) = \frac{1}{5}m_2, \qquad m_2(10) = 2m_1 - 3m_2\frac{\lambda_1}{\lambda_2} + \frac{3}{5}m_2,
$$

$$
m_{\phi}(75) = 2m_1 + 2m_2\frac{\lambda_1}{\lambda_2}, \qquad m_{\phi}(40) = 2m_1, \qquad m_{\phi}(24) = 2m_1 - m_2\frac{\lambda_1}{\lambda_2},
$$

$$
m_{2,3}(1) = m_1 - 3m_2\frac{\lambda_1}{\lambda_2} \pm \left[\left(m_1 - 3m_2\frac{\lambda_1}{\lambda_2} \right)^2 + 4m_1m_2 - 6m_2^2\frac{\lambda_1}{\lambda_2} \right]^{1/2}.
$$
 (10)

The remaining three mass-matrix eigenvalues $m_{1,2,3}(5)$ are solutions of the following cubic equation

$$
0 = x^3 - x^2 \left[2m_1 + 2m_3 + \frac{3m_2}{5} - \frac{6\lambda_1 m_2}{\lambda_2} \right] - x \left[\frac{36\lambda_3 \lambda_4 m_2^2}{5\lambda_2^2} - \frac{36\lambda_1 \lambda_3 \lambda_4 m_2^2}{\lambda_2^3} + \frac{9\lambda_1 m_2^2}{5\lambda_2} + \frac{24\lambda_3 \lambda_4 m_1 m_2}{\lambda_2^2} + \frac{12\lambda_1 m_3 m_2}{\lambda_2} - \frac{6m_3 m_2}{5} - 4m_1 m_3 \right] - \left[\frac{108\lambda_1 \lambda_3 \lambda_4 m_2^2}{\lambda_2^3} - \frac{288\lambda_3 \lambda_4 m_1 m_2^2}{5\lambda_2^2} - \frac{18\lambda_1 m_3 m_2^2}{5\lambda_2} \right].
$$
\n(11)

We point out that when the $SU(5)$ solution for VEVs (6) is inserted in 26 matrices in Ref. [6] G_{321} mass matrices we obtain 13 different mass-matrix eigenvalues, as predicted counting the $SU(5)$ multiplets in **10**, $\overline{126}$, **126**, and **210**, with different mass-matrix eigenvalues. That is a nontrivial test of the G_{321} mass matrices.

Moreover, the sum rule for the $SU(5)$ mass-matrix eigenvalues also holds

$$
\text{Tr}\mathcal{M} = [m_2(1) + m_3(1)] + [m_1(5) + m_2(5) + m_3(5)]
$$

× 10 + m₂(10) × 20 + m_Δ(15) × 30 + m_Δ(45)
× 90 + m_Δ(50) × 100 + m_φ(24) × 24
+ m_φ(40) × 80 + m_φ(75) × 75
= 2m₁ × 210 + m₂ × 252 + 2m₃ × 10. (12)

Substitution of the $SU(5)$ solution into G_{321} Higgs mass matrices leads, for example, to the following mass matrices for Higgs doublets $(1, 2, \frac{1}{2})$

$$
M_{\text{doublet}} = \begin{pmatrix} 2m_3 & 0 & \frac{6\lambda_3 m_2}{\sqrt{5}\lambda_2} & \frac{2\sqrt{3}\lambda_4 m_2}{\lambda_2} A \\ 0 & m_2 & 0 & 0 \\ \frac{6\lambda_3 m_2}{\sqrt{5}\lambda_2} & 0 & \frac{3m_2}{5} & -\frac{\sqrt{3}m_2}{\sqrt{5}} A \\ \frac{2\sqrt{3}\lambda_3 m_2}{\lambda_2} A & 0 & -\frac{\sqrt{3}m_2}{\sqrt{5}} A & 2m_1 - \frac{6\lambda_1 m_2}{\lambda_2} \end{pmatrix},
$$
(13)

where $A = (\frac{2m_1}{m_2} - \frac{3\lambda_1}{\lambda_2})^{1/2}$, and color triplets $(3, 1, -\frac{1}{3})$

$$
M_{\text{triplet}} = \begin{pmatrix} 2m_3 & M_{12} & 0 & M_{14} & M_{51} \\ M_{21} & m_2 & 0 & -\frac{m_2}{5}A & -\frac{\sqrt{2}m_2}{5} \\ 0 & 0 & m_2 & 0 & 0 \\ M_{41} & -\frac{m_2}{\sqrt{5}}A & 0 & M_{44} & -\frac{\sqrt{2}m_2A}{\sqrt{5}} \\ M_{51} & -\frac{\sqrt{2}m_2}{5} & 0 & -\frac{\sqrt{2}m_2A}{\sqrt{5}} & \frac{4m_2}{5} \end{pmatrix},
$$
(14)

where

$$
M_{12} = \frac{2\sqrt{3}\lambda_4 m_2}{\sqrt{5}\lambda_2}, \qquad M_{21} = \frac{2\sqrt{3}\lambda_3 m_2}{\sqrt{5}\lambda_2},
$$

\n
$$
M_{14} = 2\sqrt{3}\lambda_4 m_2 A, \qquad M_{41} = 2\sqrt{3}\lambda_3 m_2 A,
$$

\n
$$
M_{44} = 2m_1 - \frac{6\lambda_1 m_2}{\lambda_2}, \qquad M_{15} = \frac{2\sqrt{6}\lambda_4 m_2}{\sqrt{5}\lambda_2},
$$

\n
$$
M_{51} = \frac{2\sqrt{6}\lambda_3 m_2}{\sqrt{5}\lambda_2}.
$$
\n(15)

Note that

$$
\text{Tr}\,\mathcal{M}_{\text{triplet}} = m_{\Delta}(\mathbf{50}) + \text{Tr}\,\mathcal{M}_{\text{doublet}},
$$
\n
$$
\text{det}\,\mathcal{M}_{\text{triplet}} = m_{\Delta}(\mathbf{50})\,\text{det}\,\mathcal{M}_{\text{doublet}}.
$$
\n(16)

V. EQUIVALENCE TO OTHER APPROACHES

We show that the results of the Refs. $[6-12]$ are consistent with each other, by giving the unique correspondences between the results of different authors. In Ref. [12] it was suggested that the issue may be connected to the different definitions of the fields. We show explicitly that with the correct field identifications the apparently different results are in accord with each other.

In order to make a contact with the results for the G_{321} mass matrices found in Ref. [9], we compare the VEV equations (6)–(9) of Ref. [9] and mass matrices given in Table XI and in Eqs. (B12)–(B19) of Ref. [9] with our VEVs Eqs. (5) (see also (3.10)–(3.13) in Ref. [6]) and mass matrices given in Ref. [6] by Eqs. (4.1) – (4.5) , (5.3) , (6.4) and in Tables I and II. From a comparison of these results in the two papers one finds the unique correspondence between parameters of the two papers,

$$
m_1 = m_{\phi}, \qquad m_2 = m_{\Sigma}, \qquad m_3 = m_H,
$$

\n
$$
\lambda_1 = \sqrt{24}\lambda, \qquad \lambda_2 = 10\sqrt{6}\eta, \qquad \lambda_3 = \sqrt{5}\alpha,
$$

\n
$$
\lambda_4 = \sqrt{5}\overline{\alpha}, \qquad \phi_1 = p, \qquad \phi_2 = \sqrt{3}a,
$$

\n
$$
\phi_3 = -\sqrt{6}\omega, \qquad \nu_R = \sigma_B \qquad \overline{\nu_R} = \overline{\sigma}_B.
$$
\n(17)

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(Label *B* is introduced to distinguish the quantities of Ref. [9] from the equally named quantities in Ref. [11] which will be denoted by label *A*). Namely, if one performs the above substitution in our VEV equations and mass matrices, one gets the VEV equations as in Ref. [9]. Also, up to the phase redefinitions and simultaneous permutations of rows and columns, the same mass matrices as in Ref. [9] are obtained, except for the doublet $(1, 2, \frac{1}{2})$ mass matrix. There is the reverse sign in all matrix elements in the fourth row of $(1, 2, \frac{1}{2})$ mass matrix. This difference comes from an arbitrary choice of phases for states conjugated to each other. In our approach the phases of conjugate states are chosen to be related by complex conjugation.

Namely, if we multiply our results for the total mass matrix by a diagonal matrix of arbitrary phases D preserving G_{321} symmetry, we obtain matrix $\mathcal{M}', (\mathcal{M}' = \mathcal{DM})$ or $\mathcal{M}^{\prime} = \mathcal{M} \mathcal{D}$) which then spoils all our consistency checks for \mathcal{M}' but preserves validity of all our higher symmetry checks, except the trace check (16), for $(M')^{\dagger} \mathcal{M}'$ and $\mathcal{M}'(\mathcal{M}')^{\dagger}$ matrices. The maximal number of arbitrary phases is equal to the number of G_{321} multiplets. The matrices \mathcal{M}' and \mathcal{M} are physically equivalent. Hence we agree with [12] that there should be an equivalence i.e. oneto-one correspondence between all results of all groups.

From the substitution (17) we see that there is one-toone correspondence between VEV equations and mass matrices (up to phases), but the superpotential can be identified only after the following rescaling of the 210Φ and $126 + \overline{126} = \Delta + \Delta$ fields

$$
\Phi = \frac{\Phi_B}{\sqrt{24}}, \qquad \Delta = \frac{\Sigma_B}{\sqrt{120}}, \qquad \overline{\Delta} = \frac{\overline{\Sigma}_B}{\sqrt{120}}.
$$
 (18)

Only after substitutions (17) and the above rescalings of the fields (18) there is one-to-one correspondence of all our results and results of Ref. [9].

The similar equivalence holds between our results and the results of Ref. [11]. But this is not the correspondence given in Ref. [11] which does not map our G_{321} mass matrices to those of Ref. [11]. The correspondence between our results and those of Refs. [11,12] is

$$
m_1 = m, \t m_2 = 2M, \t m_3 = \frac{1}{2}M_H,
$$

\n
$$
\lambda_1 = \sqrt{24}\lambda, \t \lambda_2 = 20\sqrt{6}\eta, \t \lambda_3 = \sqrt{10}\gamma,
$$

\n
$$
\lambda_4 = \sqrt{10}\overline{\gamma}, \t \phi_1 = p, \t \phi_2 = \sqrt{3}a,
$$

\n
$$
\phi_3 = -\sqrt{6}\omega, \t v_R = \frac{\sigma_A}{\sqrt{2}}, \t \overline{\nu_R} = \frac{\overline{\sigma}_A}{\sqrt{2}},
$$

\n
$$
\Phi = \frac{\Phi_A}{\sqrt{24}}, \t \Delta = \frac{\Sigma_A}{\sqrt{240}}, \t \overline{\Delta} = \frac{\overline{\Sigma}_A}{\sqrt{240}}.
$$

\n(19)

Finally, we have shown that our results are internally consistent and correct. By constructing unique mappings from our results to the results of the Refs. [9] and [11,12] we have also shown that the results of Refs. [6–12] are mutually consistent. The advantages of our method are that it is very simple and necessarily incorporates a set of strong consistency checks, proposed in Ref. [8] that apply to the total mass matrix \mathcal{M} . As a consequence, $\mathcal{M}^{\dagger} \mathcal{M}$ and $\mathcal{M} \mathcal{M}^{\dagger}$ automatically satisfy all the higher symmetry tests, except the trace test. Furthermore, our method can easily be programmed for tensor representations and can easily be extended to spinor representations. Therefore, it is suitable for a broad use in the model building.

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