Beam Energy and Centrality Dependence of Direct-Photon Emission from Ultrarelativistic Heavy-Ion Collisions

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The PHENIX collaboration presents first measurements of low-momentum ($0.4 < p_T < 3 \text{ GeV/c}$) direct-photon yields from Au + Au collisions at $\sqrt{s_{NN}} = 39$ and 62.4 GeV. For both beam energies the direct-photon yields are substantially enhanced with respect to expectations from prompt processes, similar to the yields observed in Au + Au collisions at $\sqrt{s_{NN}} = 200$. Analyzing the photon yield as a function of the experimental observable $dN_{ch}/d\eta$ reveals that the low-momentum ($> 1 \text{ GeV/c}$) direct-photon yield $dN^\text{dir}_{\eta}/d\eta$ is a smooth function of $dN_{ch}/d\eta$ and can be well described as proportional to $(dN_{ch}/d\eta)^\alpha$ with $\alpha \approx 1.25$. This scaling behavior holds for a wide range of beam energies at the Relativistic Heavy Ion Collider and the Large Hadron Collider, for centrality selected samples, as well as for different $A + A$ collision systems. At a given beam energy, the scaling also holds for high $p_T$ ($> 5 \text{ GeV/c}$), but when results from different collision energies are compared, an additional $\sqrt{s_{NN}}$-dependent multiplicative factor is needed to describe the integrated-direct-photon yield.

Measurements of direct photons provide information about the strongly coupled quark-gluon plasma (QGP) produced in heavy ion collisions and its “fireball” evolution to hadron resonance matter. Owing to their long mean-free path, photons do not interact with the matter, and thus, their spectra provide information about all stages of the collision integrated over space and time [1–3]. In particular, low $p_T$ photons in the momentum range up to a few GeV/c are expected to carry information about the hot and dense fireball.

In experiments, direct photons are detected simultaneously with a much larger number of photons from hadron decays, mostly from $\pi^0$ and $\eta$ mesons. The main challenge is to subtract these decay contributions from the measurement to obtain the photons directly emitted from the collision. In addition to photons from the hot fireball, direct photons include those emitted from initial hard scattering processes, such as quark-gluon Compton scattering among the incoming partons [4]. Disentangling this prompt component from the photons emitted from the fireball is an additional challenge.

First evidence for direct photon emission from heavy ion collisions came from WA98 [5,6], with conclusive results only for $p_T > 1.5 \text{ GeV/c}$. PHENIX established that a large number of low $p_T$ direct photons are radiated from the fireball created in Au + Au collisions at $\sqrt{s_{NN}} = 200 \text{ GeV}$ [7] and that their yield increases with a power of $N_{\text{part}}$ while the inverse slopes of the spectra are independent of the centrality of the collisions [8]. Simultaneously, low $p_T$ direct photon emission exhibits a significant azimuthal anisotropy with respect to the reaction plane [9,10].

ALICE has published [11,12] similar observations of low $p_T$: direct photons from Pb + Pb collisions at $\sqrt{s_{NN}} = 2760 \text{ GeV}$. STAR also reported a measurement of the direct photon yields in Au + Au at $\sqrt{s_{NN}} = 200 \text{ GeV}$ [13], the published yields are significantly lower compared to PHENIX results. The origin of the discrepancy remains unresolved [14,15].

A large body of theoretical work on low $p_T$ direct photon emission in $A + A$ collisions exists in the literature. Many model calculations are qualitatively consistent with the data, but a quantitative description remains difficult, primarily due to the simultaneous observation of large yields and large azimuthal anisotropies [16–39].

To provide further insights, PHENIX is investigating the system size dependence of direct photon emission from heavy ion collisions by varying beam energy, centrality, and collision species. In this Letter, we present low-$p_T$
direct photon data from Au + Au collisions at $\sqrt{s_{NN}} = 39$ and 62.4 GeV taken with the PHENIX experiment in 2010. We compare the centrality selected spectra and integrated yields from Au + Au to those from $p + p$ collisions at $\sqrt{s_{NN}} = 200$ GeV [7,8], Cu + Cu collisions at $\sqrt{s_{NN}} = 200$ GeV [40], and Pb + Pb collisions at $\sqrt{s_{NN}} = 2760$ GeV [11]. This study covers a factor of 70 in $\sqrt{s_{NN}}$ and nearly 2 orders of magnitude in system size.

The 39 and 62.4 GeV direct photon spectra are obtained from two data samples of minimum bias (MB) Au + Au collisions that have a total of $7.79 \times 10^7$ and $2.12 \times 10^8$ events, respectively. The MB trigger and centrality selection is derived from data taken with the PHENIX beam-beam counters [41]. The data analysis uses the same techniques deployed for the analysis of the $\sqrt{s_{NN}} = 200$ GeV Au + Au data [8], which were taken in the same year under nearly identical conditions. Here, we give a brief overview of the setup and data analysis, and refer to our previous publication for more details [8].

Photons are reconstructed through their conversion to $e^+e^-$ pairs in the detector material, specifically the readout boards of the hadron blind detector (HBD) [42] that are located at a radius of 60 cm from the beam axes. The trajectories and momenta of the $e^+$ and $e^-$ are determined by the central arm tracking detectors [43]. Each of the two central arms covers $90^\circ$ in azimuth and a rapidity range of $|y| < 0.35$. A transverse momentum cut, $p_T > 200$ MeV/$c$, is applied to each trajectory. To identify trajectories as $e^+$ or $e^-$ candidates, we require a minimum of three associated signals in the ring-imaging Čerenkov detector [44] and that the energy measured in the electromagnetic calorimeter (EMCal) [45] matches the measured momentum ($E/p > 0.5$).

All $e^+$ and $e^-$ reconstructed in the same arm are matched to pairs. In the 2010 setup, there is no tracking near the collision point, so the origin of an individual track is unknown. Thus, for each $e^+e^-$ pair, the mass is calculated twice: first, assuming the pair originated at the event vertex ($m_{\text{vtx}}$), then assuming the $e^+e^-$ is a conversion pair from the HBD readout boards ($m_{\text{HBD}}$). In the latter case, $m_{\text{HBD}}$ will be consistent with zero, within a mass resolution of a few MeV/$c^2$, while $m_{\text{vtx}}$ will be about 12 MeV/$c^2$. With a cut on both masses a sample of photon conversion is selected with a purity of about 99%. The combinatorial background is negligible, because the conversion material, in radiation length $X/X_0 \approx 3\%$, is about 10 times thicker than materials closer to the vertex, and it is at a relatively large distance from the event vertex. The 1% contamination is mostly from $\pi^0$ Dalitz decays, $\pi^0 \rightarrow \gamma e^+e^-$, and from conversions in front of the HBD readout boards.

The direct photon content in the photon sample is determined by the ratio $R_\gamma$, which is the ratio of all emitted photons ($\gamma_{\text{incl}}$) to those from hadron decays ($\gamma_{\text{hadron}}$). The ratio $R_\gamma$ is determined from a double ratio

$$ R_\gamma = \frac{\varphi_{\text{incl}}}{\varphi_{\text{hadron}}} = \frac{(e_{\gamma})_N^{\text{incl}} / (N_{\gamma}^{\text{incl}})}{(e_{\gamma})_N^{\text{hadron}} / (N_{\gamma}^{\text{hadron}} / p_T^2)^{\text{Sim}}} \quad (1) $$

All quantities in this double ratio are functions of the conversion photon $p_T^{\gamma}$. The measured quantities are the number of detected conversion photons $N_{\gamma}^{\text{incl}}$ and the subset of those that are tagged as $\pi^0$ decay photon $N_\gamma^{\pi^0,\text{tag}}$. The tagged photons $N_\gamma^{\pi^0,\text{tag}}$ are determined statistically in bins of the $p_T^{\gamma}$. Each conversion photon is paired with all showers with $E > 400$ MeV measured in the EMCal of the same arm. The invariant $e^+e^-\gamma$ mass is calculated and the counts above the combinatorial background in the $\pi^0$ mass peak give $N_\gamma^{\pi^0,\text{tag}}$. To convert the ratio $N_{\gamma}^{\text{incl}} / N_{\gamma}^{\pi^0,\text{tag}}$ to $\varphi_{\text{incl}} / \varphi_{\pi^0}$ only $N_\gamma^{\pi^0,\text{tag}}$ needs to be corrected for the momentum averaged conditional acceptance efficiency $(e_{\gamma})_N$ that the second decay photon can be reconstructed. All other corrections to the numerator and denominator cancel [8]. Because rather loose cuts are applied to the EMCal showers, $(e_{\gamma})_N$ is mostly determined by the $\pi^0$ decay kinematics, the detector geometry, and the energy cut. Thus, $(e_{\gamma})_N$ can be calculated to a few percent accuracy using a Monte Carlo simulation of $\pi^0$ decays. Photons from pions are determined from the measured $\pi^0$ spectra [46] and two body decay kinematics. The spectrum of decay photons ($\varphi_{\gamma}^{\text{hadron}}$) is derived from $\varphi_{\pi^0}$ and the $\eta/\pi^0$ ratio [47], which is independent of collision system and energy, with additional contribution from heavier mesons of about 4%.

Once $R_\gamma$ is established, the direct photon spectrum can be calculated as

$$ \gamma_{\text{direct}} = (R_\gamma - 1)\varphi_{\text{hadron}}. \quad (2) $$

The uncertainty on $\varphi_{\text{hadron}}$ is approximately 10% [8], cancels in $R_\gamma$ [with that of $\varphi_{\pi^0}$ in Eq. (1)] but has to be applied to $\gamma_{\text{direct}}$. The systematic uncertainties on the 39 and 62.4 GeV data are similar in magnitude to those for 200 GeV presented in [8]. For integrated yield, we treat every systematic uncertainty as $p_T$-correlated in the interest of consistency throughout the different data sets.

Figure 1 shows the invariant yield of direct photons normalized to $(dN_{\gamma}/dn)_L^{1.25}$, this normalization is discussed below. Panel (a) shows Au + Au MB data at $\sqrt{s_{NN}} = 62.4$ and 39 GeV, panel (b) gives Au + Au data in three centrality classes at 200 GeV, and panel (c) compares data from different beam energies and systems. Below 3 GeV/c the 62.4 and 39 GeV data show substantial direct photon yields, which are comparable in magnitude and spectral shape, albeit within large uncertainties. For 62.4 GeV, we can also extract a direct photon signal for 0%-20% and 20%-40% centrality selection and find that the direct photon yield increases with centrality.
energies and centralities normalized by $\sqrt{s_{NN}}$

panel (b), three different centrality selections of Au charged particle multiplicity data shown in Fig. 2 and found to be $\alpha$ exponent $\sqrt{ALICE}$ data at

This is shown in Fig. 2 where

All observations are similar to those already published for Au + Au collisions at $\sqrt{s_{NN}} = 200$ GeV [8].

To compare data from different beam energies, collisions species, and collision centralities, we use the measured charged particle multiplicity $dN_{ch}/d\eta$ as a measure of the system size at hadronization. For a fixed beam energy $dN_{ch}/d\eta$ is roughly proportional $N_{part}$. However, unlike $N_{part}$, $dN_{ch}/d\eta$ does not saturate but increases monotonically with beam energy for collisions of the same nuclei at the same impact parameter.

Direct photon production at high $p_T$ results from hard scattering, which, at a fixed $\sqrt{s_{NN}}$, scales with the number of binary collisions $N_{coll}$. We find that $N_{coll}$ exhibits a remarkably simple relation with the $dN_{ch}/d\eta$ that takes the form

$$N_{coll} = \frac{1}{\sqrt{s_{NN}}} \times \left(\frac{dN_{ch}}{d\eta}\right)^\alpha.$$  \hspace{1cm} (3)

This is shown in Fig. 2 where $N_{coll}$ is plotted vs $dN_{ch}/d\eta$ for different $\sqrt{s_{NN}}$. PHENIX data are taken from [52] and ALICE data at $\sqrt{s_{NN}} = 2760$ GeV are from [53]. The exponent $\alpha$ is determined through a simultaneous fit to all data shown in Fig. 2 and found to be $\alpha = 1.25 \pm 0.02$. The specific yield SY increases logarithmically with $\sqrt{s_{NN}}$ as $\text{SY}(\sqrt{s_{NN}}) = (0.976 \pm 0.054) \log(\sqrt{s_{NN}}) - (1.827 \pm 0.253)$.

Figure 1 depicts the direct photon yield for different beam energies and centralities normalized by $(dN_{ch}/d\eta)^{1.25}$. In panel (b), three different centrality selections of Au + Au collisions at $\sqrt{s_{NN}} = 200$ GeV are shown together with data from $p + p$ at the same beam energy. The normalized spectra from Au + Au are very similar for all three centrality

FIG. 1. Direct photon spectra normalized by $(dN_{ch}/d\eta)^{1.25}$ for Au + Au at 39 and 64.2 GeV (a) and (b) at 200 GeV [8]; panel (c) compares for different $A + A$ systems at different $\sqrt{s_{NN}}$ [11,40]. Panels (a) and (b) also show $p + p$ data [8,48–50]. All panels show pQCD calculations for the corresponding $\sqrt{s}$ [21,51]. The errors shown are the quadratic sum of systematic and statistical uncertainties. Uncertainties on the $dN_{ch}/d\eta$ are not included.

FIG. 2. Number of binary collisions, $N_{coll}$ vs $dN_{ch}/d\eta$, for four beam energies. The errors shown reflect the uncertainty of $N_{coll}$ from the Glauber calculation. Fitting Eq. (3) simultaneously to all data with a common $\alpha$ results in $\alpha = 1.25$ and a $\sqrt{s_{NN}}$ dependence SY as shown in the text below Eq. (3).
selections. Above 3–4 GeV/c, the normalized yield is the same as for p + p collisions and can be reproduced by perturbative quantum chromodynamics (pQCD) calculations with a renormalization and factorization scale of $\mu = 0.5p_T$ [51,54]. Here, the pQCD calculation was normalized to the experimental $dN_{ch}/d\eta$ for $\sqrt{s} = 200$ GeV from [55]. Also shown in Fig. 1(b) is an empirical fit to the $p + p$ data [56] of the form $a(1 + p_T^2/b)^{c}$ [40]. Below 2–3 GeV/c, the normalized yield in Au + Au collisions is significantly enhanced compared to that in $p + p$ collisions, but follows the same scaling behavior with $(dN_{ch}/d\eta)^{1.25}$ independent of centrality.

Panels (a) and (c) of Fig. 1 show that for $p_T$ below 2–3 GeV/c the same scaling with $(dN_{ch}/d\eta)^{1.25}$ occurs for different $\sqrt{s_{NN}}$ and collisions systems. Below 2 GeV/c the spectra have very similar shapes. We note the apparent difference of the inverse slopes reported by PHENIX [8] and ALICE [11] is largely due to the different fit ranges used [57].

At higher $p_T$, the expected difference with $\sqrt{s_{NN}}$ is observed. As for $\sqrt{s_{NN}} = 200$ GeV, at high $p_T$, the 2760 GeV data are well reproduced by the pQCD calculation, though only above 5–6 GeV/c rather than 3–4 GeV/c. Note that the extrapolated pQCD calculations for $p + p$ at different $\sqrt{s}$ seem to converge to the same normalized yield at low $p_T$, but at a tenth of the $A + A$ yield.

We quantify direct photon emission by integrating the invariant yield above $p_T = 1.0$ GeV/c and $p_T = 5.0$ GeV/c. The integrals with the lower threshold will be dominated by excess low $p_T$ photons unique to $A + A$ collisions, while the integrals with the higher threshold are more sensitive to photons from initial hard scattering processes. The results are shown in Figs. 3 and 4 as a function of $dN_{ch}/d\eta$. Also plotted are power-law functions $A(dN_{ch}/d\eta)^{\alpha}$ with fixed $\alpha = 1.25$ and a normalization fitted to the data.

For $A + A$ collisions, the integrated yields for the 1.0 GeV/c threshold, shown in Fig. 3, scale as $(7.140 \pm 0.265) \times 10^{-3} \times (dN_{ch}/d\eta)^{1.250}$. We find the same scaling if $\alpha$ is not constrained: $(8.300 \pm 1.680) \times 10^{-4} \times (dN_{ch}/d\eta)^{1.25 \pm 0.034}$. The $A + A$ points are compared to the integrated yield for $\sqrt{s} = 200$ GeV $p + p$ obtained from the fit to the data, which is scaled with $N_{coll}$ to the corresponding $dN_{ch}/d\eta$ for each $\sqrt{s_{NN}} = 200$ GeV $A + A$ point. The width of the band is given by the combined uncertainties on the fit function and $N_{coll}$. It is parallel to the $A + A$ trend but lower by about an order of magnitude. Also shown are the scaled integrated yields from pQCD calculations for $\sqrt{s} = 62.4, 200,$ and 2760 GeV, consistent with the band independent of beam energy.

For the $p_T$ threshold of 5 GeV/c the integrated yields from Au + Au and $p + p$ at 200 GeV follow the same $(dN_{ch}/d\eta)^{1.25}$ trend, and are described by the pQCD calculation. The 2760 GeV data are also consistent with $(dN_{ch}/d\eta)^{1.25}$ but show a significantly higher yield than at 200 GeV data at the same $dN_{ch}/d\eta$. The $N_{coll}$ scaled pQCD calculation is about 30% below the data, which may not be significant considering the 25% systematic uncertainty on the calculation.

While the functional form $A(dN_{ch}/d\eta)^{\alpha}$ describes the integrated direct photon yields well, it is not unique. For instance, the data can be equally well fitted by $A(dN_{ch}/d\eta) + B(dN_{ch}/d\eta)^{6/3}$ [58]. For the data in Fig. 3, this fit results in parameters $A = (8.68 \pm 3.06) \times 10^{-4}$ and $B = (3.09 \pm 0.45) \times 10^{-4}$. The important point is
that $A + A$ data from different centralities and a wide range of collision energies can be empirically described in terms of $dN_{ch}/d\eta$ with just two parameters, suggesting some fundamental commonality in the underlying physics.

There are two main conclusions from the analyses presented in this Letter. (i) At a given beam energy, the direct photon yield scales with $dN_{ch}/d\eta^{1.25}$ or $N_{cell}$ for all observed $p_T$. There seems to be no qualitative change in the photon sources and/or their relative contributions for different collision centrality or system size. (ii) From $\sqrt{s_{NN}} = 39$ to 2760 GeV the same scaling is observed for $p_T < 2\text{ GeV}/c$. This suggests that the main sources contributing to this $p_T$ range are also very similar across beam energies.

If thermal radiation is the source of low $p_T$ direct photons, the similarity at the same $dN_{ch}/d\eta$ across beam energies and centralities for $p_T \lesssim 2\text{ GeV}/c$, suggests that the bulk of the matter that emits the radiation is similar in terms of temperature and space time evolution. This would be natural, if most of the photons are emitted near the transition from QGP to hadrons.

While at high $p_T$, the scaled yields in $p + p$ and $A + A$ are identical, at low $p_T$ they differ by a factor of 10. This implies that there must be a transition from the small $p + p$ yield to the enhanced $A + A$-like low $p_T$ yields in the $dN_{ch}/d\eta$ range of $\approx 2$ to 20, which will be accessible with the data taken by PHENIX with small systems $p + Au$, $d + Au$, and $^3\text{He} + Au$.

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[14] We note that PHENIX has published consistent results from several independent analyses with different methods, using
virtual photons [7], which is the method adopted by STAR [13], and using photon conversions in the detector material [8]. A third method using photons measured through their energy deposited in the electromagnetic calorimeter to reconstruct low $p_T$ photons has not been published [15], but gives consistent results as well.


[52] A. Adare et al. (PHENIX Collaboration), Transverse energy production and charged-particle multiplicity at midrapidity in various systems from $\sqrt{s_{NN}} = 7.7$ to 200 GeV, Phys. Rev. C 93, 024901 (2016).


[56] The fit function to $p + p$ data originally used in Refs. [7,8] was updated in Ref. [40]. The parameters are $a = 6.74 \times 10^{-3}$, $b = 2.1$, $c = -3.3$. Systematic uncertainties also include possible shape variations at low $p_T$.

[57] When fitting the 0%–20% Au + Au data at $\sqrt{s_{NN}} = 200$ GeV over the range 1.0 to 2.0 GeV/$c$, which overlaps the range 0.9 to 2.1 GeV/$c$ used by ALICE, instead of the original range of 0.6 to 2 GeV/$c$ deployed by PHENIX, we obtain an inverse slope of $279 \pm 32 \pm 10$ MeV/$c$. This value is consistent with the value $297 \pm 12 \pm 41$ MeV/$c$ published by ALICE for the same centrality class for Pb + Pb at $\sqrt{s_{NN}} = 2760$ GeV.